

**Dartmouth College
Compliance Matrix**

COMPLIANCE AREAS	SENIOR OFFICER WITH OVERSIGHT RESPONSIBILITIES	OFFICE/CONTACT PERSON	PRIMARY MANAGERS	BOARD COMMITTEE WITH OVERSIGHT RESPONSIBILITIES
Accreditation	Provost Joseph Helble	Office of Institutional Research http://www.dartmouth.edu/~oir/ Associate Provost for Institutional Research Alicia Betsinger 603-646-1247	Deans of Faculty, Deans of Professional Schools	Academic Affairs
ADA/Section 504 -Facility design accessibility matters	VP for Campus Services Steven Moore	Office of Planning, Design & Construction http://www.dartmouth.edu/~opdc/ Associate Vice President John Scherding 603-646-3351	Associate Vice President for Planning, Design & Construction John Scherding	Master Planning & Facilities
ADA/Section 504 -All other matters (students)	Dean of the College Kathryn Lively	Student Accessibility Services http://students.dartmouth.edu/student-accessibility/ Director Ward Newmeyer 603-646-9900 Student Grievances Institutional Diversity & Equity http://www.dartmouth.edu/~ide Vice President Evelynn Ellis 603-646-3146	Director, Student Accessibility Services Ward Newmeyer	Student Affairs
ADA/Section 504 -All other matters (employees)	Vice President for Institutional Diversity & Equity Evelynn Ellis	Institutional Diversity & Equity http://www.dartmouth.edu/~ide/ Equal Opportunity and Affirmative Action Program Manager Kelly Cusick 603-646-3197	Institutional Diversity & Equity Equal Opportunity and Affirmative Action Program Manager Kelly Cusick	Organizational Strategy and Compensation Committee
Admissions and Financial Aid -Financial aid accounting and controls	Provost Joseph Helble	Admissions and Financial Aid http://www.dartmouth.edu/admissions/ Vice Provost for Enrollment and Dean of Admissions Lee Coffin 603-646-2604	Director, Financial Aid G. Dino Koff	Audit

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Admissions and Financial Aid -All other matters	Provost Joseph Helble	Admissions and Financial Aid http://www.dartmouth.edu/admissions/ Vice Provost for Enrollment and Dean of Admissions Lee Coffin 603-646-2604	Director of Admissions Paul Sunde Director, Financial Aid G. Dino Koff Director, Tuck Financial Aid Office Diane Bonin Director, Geisel Financial Aid Office Michelle Chamley; Admissions Director, Aileen Panitz Administrator, Graduate Admissions & Financial Aid, Thayer School of Engineering Candy Potter Assistant Dean and Graduate Registrar, Guarini School of Graduate and Advanced Studies Gary Hutchins	Academic Affairs
Athletics	Director of Athletics and Recreation Harry Sheehy	Athletics http://www.dartmouthsports.com/ Senior Associate Athletics Director Kristene M. Kelly 603-646-1427 Assistant AD for Compliance Jake Munick 603-646-1378	Head Coaches	Student Affairs
Clery Act	Provost Joseph Helble	Office of the Provost http://www.dartmouth.edu/sexualrespect/ Title IX Coordinator / Clery Act Compliance Officer Kristi Clemens 603-646-0922	Title IX Coordinator / Clery Act Compliance Officer Kristi Clemens Director, Department of Safety and Security Keiselim Montás	Student Affairs
Conflicts of Interest, Research-related	Vice Provost for Research Dean Madden	Office of the Provost http://www.dartmouth.edu/~osp/resources/policies/dartmouth/cofinterest.html Research Compliance Officer Henrike Frowein 603-646-0239	Research Compliance Officer Henrike Frowein	Academic Affairs

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Conflicts of Interest, Nonresearch-related	General Counsel Sandhya Iyer	Office of the General Counsel http://www.dartmouth.edu/~legal/ General Counsel Sandhya Iyer 603-646-0101	General Counsel http://www.dartmouth.edu/~legal/ Sandhya Iyer	Audit
Copyright & Fair Use Scholarly communications, course materials, etc.	Dean of Libraries Susanne Mehrer	Dartmouth College Library https://library.dartmouth.edu/ Associate Librarian for Digital Strategies Daniel Chamberlain 603-646-2582 Scholarly Communication, Copyright & Publishing https://www.dartmouth.edu/~library/schcomm/ Program Director Shawn Martin 603-646-3565	Program Director for Scholarly Communication, Copyright & Publishing Shawn Martin	Academic Affairs
Copyright & Fair Use Software, music, media downloads (Digital Millennium Copyright Act [DMCA]-related issues)	VP for Information Technology and CIO Mitchel Davis	Information Technology Services http://tech.dartmouth.edu/its/services-support/people-groups/information-security Chief Information Security Officer Steven Nyman 603-646-9685	Chief Information Security Officer Steven Nyman	Audit
Donor Gift Restrictions	SVP for Advancement Robert Lasher Chief Financial Officer Michael Wagner	Advancement http://www.dartmouth.edu/~advancement/ Chief Operating Officer Ann Root Keith 603-646-9379 Controller's Office http://www.dartmouth.edu/~control/ Controller Gail Goodness 603-646-3001	Director of Advancement Records Management Janet Gilson Endowment Administration Manager Sarah K. Labombard Fiscal Officers	Audit
Environmental Health & Safety	Vice President for Campus Services Steven Moore	Environmental Health & Safety http://www.dartmouth.edu/~ehs/ Interim Director Megan Sandy 603-646-0235	Principal Investigators Managers and Supervisors	Master Planning & Facilities
Ethical Business Conduct	EVP Richard Mills General Counsel Sandhya Iyer	Risk and Internal Controls Services http://www.dartmouth.edu/~rmi/ Director Catherine Lark 603-646-2442	All Vice Presidents/Deans Director, Risk and Internal Controls Services Catherine Lark	Audit

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Export Control	Vice Provost for Research Dean Madden	Office of Sponsored Projects http://www.dartmouth.edu/~osp/ Director Jill Mortali 603-646-0678 Office of the Vice Provost for Research http://www.dartmouth.edu/~provost/vpresearch.html Research Compliance Officer Henrike Frowein 603-646-0239	Principal Investigators Department and School Administrators	Academic Affairs
Fire Safety	Associate Vice President, FO&M Frank Roberts	http://campus-services.dartmouth.edu Fire Safety Supervisor Michael Williams 603-646-6733	Fire Safety Manager Todd French	Master Planning & Facilities
Global Operations	Provost Joseph Helble EVP Richard Mills	Dean of Faculty, Deans of Professional Schools and Graduate & Advanced Studies, and Director of Global Initiatives Controller http://www.dartmouth.edu/~control/ Gail Goodness, 603-646-3001	Controller Gail Goodness	Audit
Human/Animal Subjects	Vice Provost for Research Dean Madden	Office of the Vice Provost for Research https://www.dartmouth.edu/~provost/vpresearch.html Institutional Official for Animal Research Roger Sloboda, 603-646-2377 Institutional Official for Human Subject Research Dean Madden, 603-646-4091 Institutional Animal Care and Use Committee (IACUC) https://geiselmed.dartmouth.edu/arc/iacuc/ Professor of Microbiology and Immunology Steven Fiering, 603-653-9966 Attending Veterinarian Kirk Maurer, 603-650-7147 Center for Comparative Medicine and Research http://www.dartmouth.edu/~ccmr/ Director P. Jack Hoopes, 603-650-5031 Committee for the Protection of Human Subjects https://www.dartmouth.edu/~cphs/ Director Ann O'Hara, 603-646-6482	Principal Investigators Attending Veterinarian Kirk Maurer	Academic Affairs

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Human Resources <ul style="list-style-type: none"> • Labor relations / NLRA • Employee benefits / Affordable Care Act / ERISA • Background checks / Fair Credit Reporting Act • Wage & Hour/I-9 Reporting Act 	EVP Richard Mills	Human Resources http://www.dartmouth.edu/~hrs/ Chief Human Resources Officer Scot Bemis 603-646-3768	Department and School Administrators Director of HR Services Cheryl Josler Executive Director of Total Rewards Michelle Scichilone Director, Employee & Labor Relations & Training & Development Lorin Parker Director of Labor Relations TBD Director of Benefits & Wellness Maria Barrios Director of Compensation Denise Garn Director of Talent Acquisition Michelle Hale	Organizational Strategy and Compensation Committee
Immigration, Students	General Counsel Sandhya Iyer	Office of Visa and Immigration http://www.dartmouth.edu/~ovis/ Director Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Student Affairs
Immigration, Nonstudents	General Counsel Sandhya Iyer	Office of Visa and Immigration http://www.dartmouth.edu/~ovis/ Director Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Organizational Strategy and Compensation Committee
Information Security	VP for Information Technology and CIO Mitchel Davis	Information Security http://tech.dartmouth.edu/its/services-support/people-groups/information-security Chief Information Security Officer Steven Nyman 603-646-9685	Department Managers Information Security Representatives	Audit
Insurance and Workers' Compensation	EVP Richard Mills	Risk and Internal Controls Services http://www.dartmouth.edu/~rmi/ Director Catherine Lark 603-646-2442	Associate Director, Risk Management David Foster	Finance

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Intellectual property / patent compliance / technology licensing	Associate Provost Entrepreneurship & Technology Transfer Eric Fossum	Technology Transfer Office http://www.dartmouth.edu/~tto/ Director Nila Bhakuni 603-646-3675	Director, Technology Transfer Nila Bhakuni	Academic Affairs
Investment Regulation	Chief Investment Officer Alice Ruth	Investment Operations http://www.dartmouth.edu/~investments/ Chief Operating Officer for the Investment Office Heather Huff 603-646-9095	Chief Operating Officer for the Investment Office Heather Huff	Investment
Land Use	EVP Richard Mills	Campus Services https://campus-services.dartmouth.edu/ Vice President Steven Moore 603-646-0461 Facilities, Operations & Management Associate Vice President Frank Roberts 603-646-3924	Real Estate http://www.dartmouthre.com/ Director Daniel Justynski 603-646-2654	Master Planning & Facilities
Minors	General Counsel Sandhya Iyer	Dartmouth Office of the President http://www.dartmouth.edu/~legal/minorspolicy.html Minors Protection Officer/Executive Director of the President's Office Marion ("Mimi") Simpson 603-646-0348	Department and School Administrators	Audit
Nondiscrimination and affirmative action on employment (excluding sexual harassment)	Provost Joseph Helble EVP Richard Mills	Institutional Diversity and Equity (IDE) http://www.dartmouth.edu/~ide/ Vice President Evelynn Ellis 603-646-3146 Human Resources http://www.dartmouth.edu/~hrs/ Chief Human Resources Officer Scot R. Bemis 603-646-3768	VP for Institutional Diversity and Equity (IDE) Evelynn Ellis Chief Human Resources Officer Scot R. Bemis	Organizational Strategy and Compensation Committee
Nondiscrimination in programs (including Title IX/sexual harassment and sexual violence)	Provost Joseph Helble	Sexual Respect http://www.dartmouth.edu/sexualrespect Title IX Coordinator / Clery Act Compliance Officer Kristi Clemens 603-646-0922	Title IX Coordinator / Clery Act Compliance Officer Kristi Clemens	Student Affairs
Occupational Safety	Vice President for Campus Services Steven Moore	Environmental Health & Safety http://www.dartmouth.edu/~ehs/ Interim Director Megan Sandy 603-646-0235	Department and School Administrators	Master Planning & Facilities

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Privacy: Student Academic Records	Provost Joseph Helble	Dean of Faculty, Deans of Professional Schools and Graduate & Advanced Studies, and Director of The Dartmouth Institute for Health Policy & Clinical Practice	Registrar http://www.dartmouth.edu/~reg/ Meredith Braz 603-646-3572 Registrar, Thayer School of Engineering Daryl Laware Registrar, MBA Program, Tuck School of Business Stacie J. Marshall Registrar, Geisel School of Medicine Michele W. Jaeger Registrar and Assistant Dean, Guarini School of Graduate & Advanced Studies Gary Hutchins Academic Programs Registrar The Dartmouth Institute Liz Koelsch	Academic Affairs
Privacy: Nonstudent Records	General Counsel Sandhya Iyer	Office of the General Counsel http://www.dartmouth.edu/~legal/ General Counsel Sandhya Iyer 603-646-0101	General Counsel Sandhya Iyer	Audit
Property Management	EVP Richard Mills	Campus Services https://campus-services.dartmouth.edu/ Vice President Steven Moore 603-646-2383	Associate Vice President of FO&M, Campus Facilities and Operations Frank Roberts Director of Real Estate Daniel Justynski	Master Planning & Facilities
Records Management	Provost Joseph Helble	Records Management http://www.dartmouth.edu/~library/recmgmt/ College Records Manager Jerry Lucente-Kirkpatrick 603-646-1874	Department and School Administrators	Audit
Research Administration	Provost Joseph Helble	Office of the Vice Provost for Research https://www.dartmouth.edu/~provost/vpresearch.html Vice Provost for Research Dean Madden 603-646-4091	Principal Investigators Departmental and School Administrators Office of Sponsored Projects	Academic Affairs

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Research Oversight (biological, chemical, radiological)	Vice Provost for Research Dean Madden	Institutional Biosafety Committee (IBC) http://www.dartmouth.edu/~ehs/biological/biological_ibc.html Interim Director, Environmental Health & Safety Megan Sandy 603-646-0235	Caitlyn Hauke, Biosafety Officer, Environmental Health & Safety; Principal Investigators	Academic Affairs
Scientific Misconduct/Research Integrity	Provost Joseph Helble	Office of the Vice Provost for Research https://www.dartmouth.edu/~provost/vpresearch.html Vice Provost for Research Dean Madden 603-646-4091 Office of the General Counsel http://www.dartmouth.edu/~legal/ Sandhya Iyer 603-646-0101	Principal Investigators Department and School Administrators Office of Sponsored Projects	Academic Affairs
Tax Exempt organization regulation · Form 990 Reporting · Lobbying / political activity · Post-issuance bond compliance	EVP Richard Mills	Controller's Office http://www.dartmouth.edu/~control/ Controller Gail Goodness 603-646-3001	Senior Tax Analyst Rachel Williamson Associate Controller Dianne Ingalls	Audit
Taxes	EVP Richard Mills VP, Campus Services Steven Moore	Controller's Office http://www.dartmouth.edu/~control/ Controller Gail Goodness 603-646-3001 Real Estate Office https://realestate.dartmouth.edu/ Director of Real Estate Daniel Justynski 603-646-2654	Senior Tax Analyst Rachel Williamson Director of Real Estate Daniel Justynski	Audit