



Dartmouth College

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Martin N. Wybourne
Vice Provost for Research
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Annual Report of the Council on Sponsored Activities Fiscal Year 2011

The Council on Sponsored Activities (CSA) is responsible for proposing and endorsing internal policies regulating activities sponsored by agencies outside the College. In addition, the CSA proposes and endorses institutional positions in response to policies of external sponsoring agencies as they affect the College. On a periodic basis, the CSA reviews the scope and impact of sponsored activities in relation to institutional purpose. The membership of the committee shall consist of the Provost, the Executive Vice President for Finance, the General Counsel (OGC), the Director of Office of Sponsored Projects (OSP), the Deans of the Faculty of Arts & Sciences, Dartmouth Medical School (DMS), Thayer School of Engineering, and Tuck Business School, two faculty members appointed by the Steering Committee of the General Faculty (SCGF) and two members from the Faculty of Arts & Sciences delegated from the voting membership of the Committee on the Faculty (COF).

The CSA met 6 times during the year: September 28, 2010; November 2, 2010; November 18, 2010; December 9, 2010; April 5, 2011; and June 14, 2011.

The members were: Martin Wybourne, Chair (Provost representative); Steven Kadish (EVP for Finance); Jill Mortali (OSP); Robert Donin (OGC); David Kotz (Dean of Faculty representative); Leslie Henderson (DMS Dean representative); Tillman Gerngross (Thayer Dean representative); Robert Hansen (Tuck Dean representative); Paul Guyre (SCGF – through January, 2011); Duane Compton (SCGF – replacing Paul Guyre in February, 2011); Elsa Garmire (SCGF); Jonathan Kull (COF); and Todd Heatherton (COF).

The main issues addressed by the Council were:

Electronic Research Administration Project

The current research administration system, COEUS, will not be supported after January 2013. In 2009 Martin Wybourne convened a committee of faculty and administrators to consider replacements that would move grant management to a web-based system. The recommendation to use an integrated system - Click Commerce – to manage grants, IRB, and COI processes was discussed and endorsed by the CSA. The CSA discussed policy implications associated with the new system and it was agreed that the CSA would play an advisory role to the implementation process and will help resolve questions as they arise.

Conflict of Interest

The Council discussed conflict of interest issues, particularly the strengthening of the Conflict of Interest Committee (COIC), which is a subcommittee of the CSA. A definition for the role and responsibility of the COIC Chair was developed and adopted by consensus.

The council also worked on and approved revised language for the Human Subjects Conflict of Interest Policy.

The council discussed pending Department of Health and Human Services conflict of interest (COI) rules and suggested that Dartmouth may need to have an individual devoted 100% to research COI if the rules are put into effect. [Note: The rules went into effect on 25 August 2011 with a one-year timeframe for compliance. The Provost's office has established a task force to make recommendations on the implementation of rules. The task force will work closely with the CSA in the coming year.]

Definition of Postdoctoral Scholars

The College does not currently have a way to identify and report on postdoctoral scholars at Dartmouth. In order to fulfill reporting requirements and be able to access and analyze data about postdoctoral appointments, members of the CSA worked with Human Resources to develop a definition of postdoctoral scholars and planned how to identify and label postdocs in the HRMS system.

Finance Policies/Procedures

As the College revised its finance policies and procedures, the CSA assessed how proposed changes would impact research and sponsored awards. The CSA supported the option of using per-diem rates for travel reimbursement. The Council also reviewed drafts of a comprehensive policy regarding authority to sign contracts and agreements for purchasing and made a number of recommendations about wording that described the appropriate authorization levels in research groups.

Other Issues

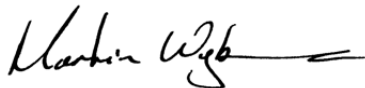
A number of other issues were discussed during the year. These include the proposal to reorganize technology transfer, which is being moved forward by the Provost; the use of commercial IRBs for certain clinical trials; and the preparation of the Facilities and Administration proposal.

Sponsored Awards and Proposals for Fiscal Year 2011

The summary of the Annual Sponsored Awards and Proposals for FY2011 is attached to this report and the complete report will be located at the Sponsored Projects website: <http://www.dartmouth.edu/~osp/resources/reports/>.

I trust this information provides a helpful summary of the CSA activities during the past year.

Respectfully submitted,



Martin Wybourne
Chair, Council on Sponsored Activities

16 September 2011

THREE YEAR COMPARISON BY MONTH
AWARDS RECEIVED BY 6/30/2011

Month	Division	#	FY 11		FY11		FY 10		FY10		FY 09		FY09	
			Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	
JULY	ARTSCI	25	2,861,263	25	2,861,263	42	9,166,341	42	9,166,341	16	3,111,032	16	3,111,032	
	DEAN	1	23,249	1	23,249	1	8,000	1	8,000	0	0	0	0	
	DMS	83	21,616,780	83	21,616,780	94	19,140,672	94	19,140,672	61	13,290,677	61	13,290,677	
	FAID	2	57,093	2	57,093	1	25,332	1	25,332	0	0	0	0	
	PRES	0	0	0	0	0	0	0	0	0	0	0	0	
	PROVST	1	3,000	1	3,000	3	13,150	3	13,150	0	0	0	0	
	THAY	4	1,902,014	4	1,902,014	8	1,240,498	8	1,240,498	12	2,503,888	12	2,503,888	
	TUCK	0	0	0	0	0	0	0	0	1	50,000	1	50,000	
	VPF	0	0	0	0	0	0	0	0	0	0	0	0	
sum		116	26,463,399	116	26,463,399	149	29,593,993	149	29,593,993	90	18,955,597	90	18,955,597	
AUGUST	ARTSCI	68	8,392,772	43	5,531,509	85	12,927,641	43	3,761,300	37	6,262,456	21	3,151,424	
	DEAN	1	23,249	0	0	1	8,000	0	0	0	0	0	0	
	DMS	169	44,806,216	86	23,189,436	180	39,359,761	86	20,219,089	121	29,707,539	60	16,416,862	
	FAID	3	57,309	1	216	1	25,332	0	0	0	0	0	0	
	PRES	0	0	0	0	0	0	0	0	0	0	0	0	
	PROVST	4	2,888,822	3	2,885,822	9	2,412,651	6	2,399,501	0	0	0	0	
	THAY	19	4,743,270	15	2,841,256	15	1,939,517	7	699,019	16	2,689,930	4	186,042	
	TUCK	0	0	0	0	0	0	0	0	1	50,000	0	0	
	VPF	0	0	0	0	0	0	0	0	0	0	0	0	
sum		264	60,911,638	148	34,448,239	291	56,672,902	142	27,078,909	175	38,709,925	85	19,754,328	

THREE YEAR COMPARISON BY MONTH
AWARDS RECEIVED BY 6/30/2011

Month	Division	#	FY 11		FY11		FY 10		FY10		FY 09		FY09	
			Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	
SEPTEMBER	ARTSCI	93	13,392,552	25	4,999,780	112	16,332,416	27	3,404,775	63	9,085,842	26	2,823,386	
	DEAN	1	23,249	0	0	1	8,000	0	0	0	0	0	0	
	DMS	233	56,965,809	64	12,159,593	300	68,034,248	120	28,674,487	197	41,554,615	76	11,847,076	
	FAID	3	57,309	0	0	1	25,332	0	0	0	0	0	0	
	PRES	0	0	0	0	0	0	0	0	0	0	0	0	
	PROVST	5	3,063,822	1	175,000	10	2,637,275	1	224,624	1	360,502	1	360,502	
	THAY	23	7,597,747	4	2,854,477	31	5,409,601	16	3,470,084	27	4,569,872	11	1,879,942	
	TUCK	0	0	0	0	0	0	0	0	1	50,000	0	0	
	VPF	0	0	0	0	0	0	0	0	0	0	0	0	
sum		358	81,100,488	94	20,188,850	455	92,446,872	164	35,773,970	289	55,620,831	114	16,910,906	
OCTOBER	ARTSCI	104	14,445,015	11	1,052,463	120	17,332,090	8	999,674	70	9,467,464	7	381,622	
	DEAN	2	25,249	1	2,000	1	8,000	0	0	0	0	0	0	
	DMS	267	58,239,318	34	1,273,509	368	74,462,466	68	6,428,218	229	43,320,331	32	1,765,716	
	FAID	6	89,115	3	31,806	1	25,332	0	0	0	0	0	0	
	PRES	0	0	0	0	1	330,936	1	330,936	0	0	0	0	
	PROVST	6	3,135,642	1	71,820	11	3,080,434	1	443,159	1	360,502	0	0	
	THAY	30	8,967,191	7	1,369,444	33	5,465,626	2	56,025	31	4,660,358	4	90,486	
	TUCK	0	0	0	0	1	22,004	1	22,004	1	50,000	0	0	
	VPF	0	0	0	0	0	0	0	0	0	0	0	0	
sum		415	84,901,530	57	3,801,042	536	100,726,888	81	8,280,016	332	57,858,655	43	2,237,824	

THREE YEAR COMPARISON BY MONTH
AWARDS RECEIVED BY 6/30/2011

Month	Division	#	FY 11		FY11		FY 10		FY10		FY 09		FY09	
			Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	
NOVEMBER	ARTSCI	120	16,481,516	16	2,036,501	129	18,662,665	9	1,330,575	81	10,764,613	11	1,297,149	
	DEAN	3	30,249	1	5,000	1	8,000	0	0	0	0	0	0	
	DMS	324	66,210,238	57	7,970,920	431	77,912,456	63	3,449,990	259	45,510,210	30	2,189,879	
	FAID	6	89,115	0	0	1	25,332	0	0	0	0	0	0	
	PRES	0	0	0	0	1	330,936	0	0	0	0	0	0	
	PROVST	8	3,158,142	2	22,500	12	3,100,434	1	20,000	1	360,502	0	0	
	THAY	36	9,620,113	6	652,922	41	6,016,695	8	551,069	35	5,161,920	4	501,562	
	TUCK	0	0	0	0	1	22,004	0	0	1	50,000	0	0	
VPF	0	0	0	0	0	0	0	0	0	0	0	0		
sum		497	95,589,373	82	10,687,843	617	106,078,522	81	5,351,634	377	61,847,245	45	3,988,590	
DECEMBER	ARTSCI	124	16,600,133	4	118,617	143	19,864,641	14	1,201,976	90	12,996,577	9	2,231,964	
	DEAN	3	30,249	0	0	1	8,000	0	0	0	0	0	0	
	DMS	378	74,391,334	54	8,181,096	480	84,237,241	49	6,324,785	305	50,939,157	46	5,428,947	
	FAID	6	89,115	0	0	1	25,332	0	0	0	0	0	0	
	PRES	0	0	0	0	1	330,936	0	0	0	0	0	0	
	PROVST	9	3,168,142	1	10,000	13	3,101,240	1	806	2	405,502	1	45,000	
	THAY	41	10,227,219	5	607,106	49	7,162,042	8	1,145,347	45	6,524,067	10	1,362,147	
	TUCK	0	0	0	0	1	22,004	0	0	1	50,000	0	0	
VPF	0	0	0	0	0	0	0	0	0	0	0	0		
sum		561	104,506,192	64	8,916,819	689	114,751,436	72	8,672,914	443	70,915,303	66	9,068,058	

THREE YEAR COMPARISON BY MONTH
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Month	Division	#	FY 11		FY11		FY 10		FY10		FY 09		FY09	
			Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	
JANUARY	ARTSCI	136	19,082,415	12	2,482,282	164	23,213,207	21	3,348,566	105	14,934,421	15	1,937,844	
	DEAN	3	30,249	0	0	1	8,000	0	0	0	0	0	0	
	DMS	449	80,526,112	71	6,134,778	551	93,372,939	71	9,135,698	352	55,768,744	47	4,829,587	
	FAID	6	89,115	0	0	1	25,332	0	0	0	0	0	0	
	PRES	0	0	0	0	1	330,936	0	0	0	0	0	0	
	PROVST	10	3,223,582	1	55,440	14	3,111,240	1	10,000	3	416,502	1	11,000	
	THAY	43	10,880,268	2	653,049	63	9,164,949	14	2,002,907	53	8,698,630	8	2,174,563	
	TUCK	1	142,500	1	142,500	1	22,004	0	0	1	50,000	0	0	
	VPF	0	0	0	0	0	0	0	0	0	0	0	0	
sum		648	113,974,241	87	9,468,049	796	129,248,607	107	14,497,171	514	79,868,297	71	8,952,994	
FEBRUARY	ARTSCI	147	20,566,504	11	1,484,089	176	26,829,327	12	3,616,120	110	15,175,115	5	240,694	
	DEAN	3	30,249	0	0	1	8,000	0	0	0	0	0	0	
	DMS	499	85,346,037	50	4,819,925	603	99,182,589	52	5,809,650	416	62,677,315	64	6,908,571	
	FAID	8	8,610,270	2	8,521,155	2	47,973	1	22,641	0	0	0	0	
	PRES	0	0	0	0	1	330,936	0	0	0	0	0	0	
	PROVST	11	3,233,582	1	10,000	14	3,111,240	0	0	3	416,502	0	0	
	THAY	47	11,377,687	4	497,419	71	9,627,308	8	462,359	70	10,675,039	17	1,976,409	
	TUCK	1	142,500	0	0	1	22,004	0	0	1	50,000	0	0	
	VPF	0	0	0	0	0	0	0	0	0	0	0	0	
sum		716	129,306,829	68	15,332,588	869	139,159,377	73	9,910,770	600	88,993,971	86	9,125,674	

THREE YEAR COMPARISON BY MONTH
AWARDS RECEIVED BY 6/30/2011

Month	Division	#	FY 11		FY11		FY 10		FY10		FY 09		FY09	
			Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	
MARCH	ARTSCI	166	25,873,206	19	5,306,702	186	27,712,163	10	882,836	138	18,573,092	28	3,397,977	
	DEAN	3	30,249	0	0	1	8,000	0	0	1	15,000	1	15,000	
	DMS	553	92,629,295	54	7,283,258	664	114,636,705	61	15,454,116	477	73,246,990	61	10,569,675	
	FAID	8	8,610,270	0	0	4	142,864	2	94,891	0	0	0	0	
	PRES	0	0	0	0	1	330,936	0	0	0	0	0	0	
	PROVST	12	3,322,282	1	88,700	14	3,111,240	0	0	3	416,502	0	0	
	THAY	58	13,255,861	11	1,878,174	76	9,803,324	5	176,016	76	11,536,036	6	860,997	
	TUCK	1	142,500	0	0	2	144,861	1	122,857	1	50,000	0	0	
VPF	0	0	0	0	0	0	0	0	0	0	0	0		
sum		801	143,863,663	85	14,556,834	948	155,890,093	79	16,730,716	696	103,837,620	96	14,843,649	
APRIL	ARTSCI	178	26,470,792	12	597,586	209	32,981,794	23	5,269,631	173	21,428,262	35	2,855,170	
	DEAN	3	30,249	0	0	1	8,000	0	0	1	15,000	0	0	
	DMS	592	103,808,747	39	11,179,452	717	126,657,523	53	12,020,818	538	86,756,587	61	13,509,597	
	FAID	11	17,712,584	3	9,102,314	4	142,864	0	0	5	4,098,277	5	4,098,277	
	PRES	0	0	0	0	1	330,936	0	0	0	0	0	0	
	PROVST	18	3,361,912	6	39,630	14	3,111,240	0	0	6	485,852	3	69,350	
	THAY	81	16,682,301	23	3,426,440	83	10,994,277	7	1,190,953	80	12,243,686	4	707,650	
	TUCK	1	142,500	0	0	2	144,861	0	0	1	50,000	0	0	
VPF	0	0	0	0	0	0	0	0	0	0	0	0		
sum		884	168,209,085	83	24,345,422	1031	174,371,495	83	18,481,402	804	125,077,664	108	21,240,044	

THREE YEAR COMPARISON BY MONTH
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Month	Division	#	FY 11		FY11		FY 10		FY10		FY 09		FY09	
			Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	#	Yr-to-Date	#	Monthly	
MAY	ARTSCI	186	26,834,828	8	364,036	222	34,215,288	13	1,233,494	185	23,494,653	12	2,066,391	
	DEAN	3	30,249	0	0	1	8,000	0	0	1	15,000	0	0	
	DMS	672	110,648,950	80	6,840,203	795	140,224,066	78	13,566,543	604	93,521,323	66	6,764,736	
	FAID	13	19,512,575	2	1,799,991	7	1,002,732	3	859,868	8	5,303,447	3	1,205,170	
	PRES	0	0	0	0	0	0	0	0	0	0	0	0	
	PROVST	18	3,361,912	0	0	19	3,194,912	5	83,672	10	504,208	4	18,356	
	THAY	87	17,442,182	6	759,881	90	12,396,052	7	1,401,775	90	15,535,091	10	3,291,405	
	TUCK	1	142,500	0	0	2	144,861	0	0	1	50,000	0	0	
VPF	0	0	0	0	0	0	0	0	0	0	0	0		
sum		980	177,973,196	96	9,764,111	1136	191,185,911	106	17,145,352	899	138,423,722	95	13,346,058	
JUNE	ARTSCI	205	29,912,042	19	3,077,214	244	37,541,140	22	3,325,852	203	24,955,667	18	1,461,014	
	DEAN	3	30,249	0	0	1	8,000	0	0	1	15,000	0	0	
	DMS	755	129,915,226	83	19,266,276	862	161,306,039	67	21,081,973	683	115,630,205	79	22,108,882	
	FAID	15	19,733,177	2	220,602	13	17,052,246	6	16,049,514	8	5,303,447	0	0	
	PRES	0	0	0	0	0	0	0	0	0	0	0	0	
	PROVST	23	4,172,047	5	810,135	19	3,194,912	0	0	10	504,208	0	0	
	THAY	99	19,235,646	12	1,793,464	96	13,696,970	6	1,300,918	113	17,886,228	23	2,351,137	
	TUCK	1	142,500	0	0	2	144,861	0	0	1	50,000	0	0	
VPF	0	0	0	0	0	0	0	0	0	0	0	0		
sum		1101	203,140,887	121	25,167,691	1237	232,944,168	101	41,758,257	1019	164,344,755	120	25,921,033	