

**ANNUAL REPORT of the COUNCIL on SPONSORED ACTIVITIES
2000-2001**

During the academic year 2000-2001 the Council on Sponsored Activities (CSA) met five times: December 13, 2000; February 28, 2001; April 10, 2001; May 18, 2001; and June 28, 2001. The minutes are available in the Office of Grants and Contracts, 11 Rope Ferry Rd..

Members of the Council for the 2000-2001 academic year were:

Francis Kennedy, Professor of Engineering, Chairman December meeting
Daniel Lynch, Professor of Engineering, Chairman February, April, May, and June meetings
Ann Bauer, Director of Planning and Administration, DMS
Jamshed Bharucha, Deputy Provost
Elsa Garmire, Professor of Engineering
Robert Greenberg, Professor of Community and Family Medicine, and Director of the Norris Cotton Cancer Center
Mary Lou Guerinot, Professor of Biological Sciences
Robert Hansen, Norman W. Martin 1925 Professor of Business Administration and Senior Associate Dean
Todd Heatherton, Associate Professor of Psychological & Brain Sciences
Edwin Johnson, Vice President and Treasurer
John Kavanagh, Director of the Office of Grants and Contracts
Dale Turner, Assistant Professor of Native American Studies
Martin Wybourne, Professor of Physics

1. Research and Institutional Compliance

Issues on this subject were discussed at the December meeting and John Carfora, Chairman of the Compliance Working Group (CWG), reported on this group's progress. At their meetings in November they reviewed the clinical trial practices at Dartmouth and at DHMC. They also discussed inclusions to education and training programs that will be required for all recipients of federal funds. At the February meeting John Carfora informed the CSA that the 2nd Annual Report of the CWG would be available in April. He also reported that a compliance website, created with the help of Win Johnson VP/Treasurer, is now online. It was pilot-tested with positive comments from within and outside the College.

2. Committee for the Protection of Human Subjects

At the December meeting CPHS Director Liz Bankert announced that the Office of Research Integrity of the Department of Health and Human Services (DHHS) has mandated training for all faculties and others who involve human subjects in their research. In Fiscal Year 2001, the staff of CPHS offered 40 training sessions to 606 of Dartmouth faculty and staff to comply with this mandate.

3. Scientific Misconduct

During the past academic year, the Council, guided by General Counsel Bob Donin, began a review of the College's procedure for investigating allegations of scientific misconduct. Scientific misconduct was the subject of a CSA Special Meeting on April 10. The review was prompted by a request from several Dartmouth Medical School faculty members. These members had received anonymous charges of scientific misconduct between 1992-95. The charges were ultimately proven to be without merit, but the faculty members were dissatisfied with several aspects of the procedures followed during the investigation. Their concerns centered on timely notification of the respondents, maintenance of confidentiality, protection of the rights of the parties, restoration of the respondent's reputation, and the handling of anonymous complaints. After meeting with these faculty members and discussing their concerns, the Council made several recommendations concerning changes to the College's scientific misconduct procedures. The faculty members' concerns and the Council's analysis and recommendations are set forth in more detail in the Council's report on this matter, a copy of which is attached.

4. Intellectual Property/Publication Restrictions

At the June 28, 2001 meeting John Kavanagh reported that we are seeing an increase in sponsored research agreements issued to the College that bring into question the free exchange of ideas. The nature of some of the research that we do also raises issues regarding confidentiality and the employment of graduate students and foreign nationals on certain research projects.

As far back as the 1960's the Council adopted a policy stating that publications could not be restricted. That policy is in the Faculty Handbook. The Council was informed that we recently received a proposed agreement from a federal agency that would give them the right to review publications before they are made public. All efforts to change language in the agreement to conform to our policy failed and the agreement was accepted with the inclusion of the restrictive clause.

During discussions, some argued that policy in the Faculty Handbook only applies to the Faculty of Arts & Sciences. The fact is the publication language in the handbook was adopted by the CSA which has jurisdiction over all faculties and therefore is binding on all members of the Dartmouth community.

5. Conclusion

Issues of restrictions on the conduct of research, classified research, intellectual property, and the rights of graduate students and foreign nationals will no doubt be a major focus of the Council during the 2001-2002 academic year.

Respectfully submitted John Kavanagh
October 1, 2001
Dartmouth College
Hanover, NH