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### Dartmouth College Compliance Matrix by Compliance Area

COMPLIANCE AREAS	SENIOR OFFICER WITH OVERSIGHT RESPONSIBILITIES	OFFICE/CONTACT PERSON	PRIMARY MANAGERS	BOARD COMMITTEE WITH OVERSIGHT RESPONSIBILITIES
Accreditation	Provost Joseph Helble	Office of Institutional Research Associate Provost for Institutional Research Alicia Betsinger 603-646-1247	Deans of Faculty, Deans of Professional Schools	Academic Excellence
ADA/Section 504 -Facility design accessibility matters	VP for Campus Services Josh Keniston	Campus Services Director of Project Management Services Patrick O'Hern 603-646-2780 Institutional Diversity & Equity ADA/504 Compliance Officer Cathleen Trueba 603-646-1407	Director of Project Management Services, Campus Services Patrick O'Hern	Campus Planning & Facilities
ADA/Section 504 -All other matters (students)	Dean of the College Kathryn Lively	Student Accessibility Services Director Alison May 603-646-9900 Student Grievances Institutional Diversity & Equity Associate Director Kelly Cusick 603-646-3197 Institutional Diversity & Equity ADA/504 Compliance Officer Cathleen Trueba 603-646-1407	Director, Student Accessibility Services Alison May	Student Experience
ADA/Section 504 -All other matters (employees)	Acting Senior Director of Institutional Diversity & Equity Kristi Clemens	Institutional Diversity & Equity ADA/504 Compliance Officer Cathleen Trueba 603-646-1407	Institutional Diversity & Equity Equal Opportunity and Affirmative Action Associate Director Kelly Cusick	Audit and Oversight
ADA/Section 508 -Electronic Information Technology	VP for Information Technology and CIO Mitchel Davis	Institutional Diversity & Equity ADA/504 Compliance Officer Cathleen Trueba 603-646-1407	Institutional Diversity & Equity ADA/504 Compliance Officer Cathleen Trueba	Audit and Oversight
Admissions and Financial Aid -Financial aid accounting and controls	Provost Joseph Helble	Admissions and Financial Aid Vice Provost for Enrollment and Dean of Admissions Lee Coffin 603-646-2604	Director, Financial Aid G. Dino Koff	Audit and Oversight

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Admissions and Financial Aid -All other matters	Provost Joseph Helble	Admissions and Financial Aid Vice Provost for Enrollment and Dean of Admissions Lee Coffin 603-646-2604	Director of Admissions Paul Sunde  Director, Financial Aid G. Dino Koff  Director, Tuck Financial Aid Office Diane Bonin  Director, Geisel Financial Aid Office Michelle Chamley; Admissions Director, Aileen Panitz  Administrator, Graduate Admissions & Financial Aid, Thayer School of Engineering Candy Potter  Assistant Dean and Graduate Registrar, Guarini School of Graduate and Advanced Studies Gary Hutchins	Academic Excellence
Athletics	Director of Athletics and Recreation Harry Sheehy	Athletics Exec. Assoc. AD for Varsity Sports/SWA/Deputy Title IX Coord. Kristene M. Kelly 603-646-1427  Associate Athletics Director for Compliance Tiffani Sykes 603-646-1378	Head Coaches	Student Experience
Clery Act	Executive Vice President (EVP) Richard Mills	Department of Safety and Security Clery Act Compliance Officer J. Grace Alden 603-646-9755	Director, Department of Safety and Security Keiselim Montás	Student Experience
Conflicts of Interest, Research-related	Vice Provost for Research Dean Madden	Office of the Provost Director, Research Integrity Henrike Frowein 603-646-0703	Director, Research Integrity Henrike Frowein	Academic Excellence
Conflicts of Interest, Nonresearch-related	General Counsel Sandhya Iyer	Office of the General Counsel General Counsel Sandhya Iyer 603-646-0101	General Counsel Sandhya Iyer	Audit and Oversight

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Copyright & Fair Use Scholarly communications, course materials, etc.	Dean of Libraries Susanne Mehrer	Dartmouth College Library Associate Librarian for Digital Strategies Daniel Chamberlain 603-646-2582	Program Director for Scholarly Communication, Copyright & Publishing Shawn Martin	Academic Excellence
		Scholarly Communication, Copyright & Publishing Program Director Shawn Martin 603-646-3565		
Copyright & Fair Use Software, music, media downloads (Digital Millennium Copyright Act [DMCA]-related issues)	VP for Information Technology and CIO Mitchel Davis	Information Technology & Consulting Senior Director, Information Security Sean McNamara 603-646-0611	Senior Director, Information Security Sean McNamara	Audit and Oversight
Donor Gift Restrictions	SVP for Advancement Robert Lasher	Advancement Chief Operating Officer Ann Root Keith 603-646-9379	Director of Advancement Records Management Janet Gilson	Audit and Oversight
	Chief Financial Officer Michael Wagner	Controller Dianne Ingalls 603-646-3001	Endowment Administration Director Sarah K. Labombard  Fiscal Officers	
Environmental Health & Safety	Vice President for Campus Services Josh Keniston	Environmental Health & Safety Director Megan Sandy 603-646-0235	Principal Investigators Managers and Supervisors	Campus Planning & Facilities
Ethical Business Conduct	EVP Richard Mills  General Counsel Sandhya Iyer	Risk and Internal Controls Services Interim Director David Foster 603-646-2442	All Vice Presidents/Deans Interim Director, Risk and Internal Controls Services David Foster	Audit and Oversight
Export Control	Vice Provost for Research Dean Madden	Office of Sponsored Projects Director Jill Mortali 603-646-0678	Principal Investigators Department and School Administrators	Academic Excellence
		Office of the Vice Provost for Research Director, Research Integrity Henrike Frowein 603-646-0703		
Fire Safety	Associate Vice President, FO&M Frank Roberts	Fire Safety Supervisor Michael Williams 603-646-6733	Fire Safety Manager Todd French	Campus Planning & Facilities
Global Operations	Provost Joseph Helble	Dean of Faculty, Deans of Professional Schools and Graduate & Advanced Studies, and Director of Global Initiatives	Controller Dianne Ingalls	Audit and Oversight
	EVP Richard Mills	Controller Dianne Ingalls, 603-646-3001		

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Human/Animal Subjects	Vice Provost for Research Dean Madden	Office of the Vice Provost for Research Institutional Official for Animal Research Roger Sloboda, 603-646-2377	Principal Investigators  Attending Veterinarian, Kirk Maurer	Academic Excellence
		Institutional Official for Human Subject Research Dean Madden, 603-646-0703		
		Institutional Animal Care and Use Committee (IACUC) Professor of Microbiology and Immunology Steven Fiering, 603-653-9966		
		Attending Veterinarian Kirk Maurer, 603-650-7147		
		Center for Comparative Medicine and Research Director P. Jack Hoopes, 603-650-5031		
		Committee for the Protection of Human Subjects Director Ann O'Hara, 603-646-6482		
Human Resources  • Labor relations / NLRA  • Employee benefits / Affordable Care Act / ERISA  • Background checks / Fair Credit Reporting Act  • Wage & Hour/I-9 Reporting Act	EVP Richard Mills	Human Resources Chief Human Resources Officer Scot Bemis 603-646-3768	Department and School Administrators  Executive Director of HR Services Cheryl Josler  Executive Director of Total Rewards Michelle Scichilone  Director, Employee Relations Lorin Parker  Director of Benefits & Wellness Maria Barrios  Director of Compensation Denise Garn  Director of Talent Acquisition Michelle Hale	Audit and Oversight
Immigration, Students	General Counsel Sandhya Iyer	Office of Visa and Immigration Director Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Student Experience
Immigration, Nonstudents	General Counsel Sandhya Iyer	Office of Visa and Immigration Director Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Audit and Oversight

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Information Security	VP for Information Technology and CIO Mitchel Davis	Information Security Senior Director, Information Security Sean McNamara 603-646-0611	Department Managers Information Security Representatives	Audit and Oversight
Insurance and Workers' Compensation	EVP Richard Mills	Risk and Internal Controls Services Interim Director David Foster 603-646-2442	Interim Director, Risk and Internal Controls Services David Foster	Finance
Intellectual property / patent compliance / technology licensing	Associate Provost Entrepreneurship & Technology Transfer Eric Fossum	Technology Transfer Office Director Kim Rosenfield 603-646-9697	Director, Technology Transfer Office Kim Rosenfield	Academic Excellence
Investment Regulation	Chief Investment Officer Alice Ruth	Investment Operations Chief Operating Officer for the Investment Office Heather Huff 603-646-9095	Chief Operating Officer for the Investment Office Heather Huff	Investment
Land Use	EVP Richard Mills	Campus Services Vice President Josh Keniston 603-646-0458  Facilities, Operations & Management Associate Vice President, Frank Roberts 603-646-3924	Real Estate Director, Daniel Justynski 603-646-2654	Campus Planning & Facilities
Minors	General Counsel Sandhya Iyer	Dartmouth Office of the President Minors Protection Officer/Executive Director of the President's Office Marion ("Mimi") Simpson 603-646-0348	Department and School Administrators	Audit and Oversight
Nondiscrimination and affirmative action on employment (excluding sexual harassment)	Provost Joseph Helble	Title IX Office and Institutional Diversity and Equity (IDE) Title IX Coordinator and Acting Senior Director of IDE Kristi Clemens 603-646-0922	Title IX Coordinator and Acting Senior Director of Institutional Diversity and Equity (IDE) Kristi Clemens	Audit and Oversight
	EVP Richard Mills	Human Resources Chief Human Resources Officer Scot R. Bemis 603-646-3768	Chief Human Resources Officer Scot R. Bemis	
Nondiscrimination in programs (including Title IX/sexual harassment and sexual violence)	Provost Joseph Helble	Title IX Office and Institutional Diversity and Equity (IDE) Title IX Coordinator and Acting Senior Director of IDE Kristi Clemens 603-646-0922	Title IX Coordinator and Acting Senior Director of Institutional Diversity and Equity (IDE) Kristi Clemens	Student Experience

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Occupational Safety	Vice President for Campus Services Josh Keniston	Environmental Health & Safety Director Megan Sandy 603-646-0235	Department and School Administrators	Campus Planning & Facilities
Privacy: Student Academic Records	Provost Joseph Helble	Dean of Faculty, Deans of Professional Schools and Graduate & Advanced Studies, and Director of The Dartmouth Institute for Health Policy & Clinical Practice	Interim Registrar for Academic Policy & Operations Eric Parsons  Registrar, Thayer School of Engineering, Daryl Laware  Registrar, MBA Program, Tuck School of Business, Stacie J. Marshall  Registrar, Geisel School of Medicine Michele W. Jaeger  Registrar and Assistant Dean, Guarini School of Graduate & Advanced Studies, Gary Hutchins  Registrar, The Dartmouth Institute, Liz Koelsch	Academic Excellence
Privacy: Nonstudent Records	General Counsel Sandhya Iyer	Office of the General Counsel General Counsel Sandhya Iyer 603-646-0101	General Counsel Sandhya Iyer	Audit and Oversight
Property Management	EVP Richard Mills	Campus Services Vice President Josh Keniston 603-646-0458	Associate Vice President of FO&M, Campus Facilities and Operations Frank Roberts  Director of Real Estate Daniel Justynski	Campus Planning & Facilities
Records Management	Provost Joseph Helble	Dartmouth Library Associate Librarian for Access & Collection Strategies Kenneth Peterson 603-646-9929	Department and School Administrators	Audit and Oversight
Research Administration	Provost Joseph Helble	Office of the Vice Provost for Research Vice Provost for Research Dean Madden 603-646-0703	Principal Investigators Departmental and School Administrators Office of Sponsored Projects	Academic Excellence

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Research Oversight (biological, chemical, radiological)	Vice Provost for Research Dean Madden	Institutional Biosafety Committee (IBC) Director, Environmental Health & Safety Megan Sandy 603-646-0235	Caitlyn Hauke, Biosafety Officer, Environmental Health & Safety; Principal Investigators	Academic Excellence
Scientific Misconduct/Research Integrity	Provost Joseph Helble	Office of the Vice Provost for Research Vice Provost for Research Dean Madden 603-646-0703	Principal Investigators Department and School Administrators Office of Sponsored Projects	Academic Excellence
		Office of the General Counsel Sandhya Iyer 603-646-0101		
Tax Exempt organization regulation • Form 990 Reporting • Lobbying / political activity • Post-issuance bond compliance	EVP Richard Mills	Controller Dianne Ingalls 603-646-3001	Senior Tax Analyst Rachel Williamson  Associate Controller TBD	Audit and Oversight
Taxes	EVP Richard Mills  VP, Campus Services Josh Keniston	Controller Dianne Ingalls 603-646-3001	Senior Tax Analyst Rachel Williamson	Audit and Oversight
		Real Estate Office Director of Real Estate Daniel Justynski 603-646-2654	Director of Real Estate Daniel Justynski	