

**Dartmouth College  
Compliance Matrix by Compliance Area**

COMPLIANCE AREAS	SENIOR OFFICER WITH OVERSIGHT RESPONSIBILITIES	OFFICE/CONTACT PERSON	PRIMARY MANAGERS	BOARD COMMITTEE WITH OVERSIGHT RESPONSIBILITIES
Accreditation	Provost David Kotz	Office of Institutional Research Associate Provost for Institutional Research Libby Barlow 603-646-1247	Deans of Faculty, Deans of Professional Schools	Academic Excellence
ADA/Section 504 -Facility design accessibility matters	VP for Campus Services Josh Keniston	Campus Services Director of Project Management Services Patrick O'Hern 603-646-2780 Institutional Diversity & Equity ADA/504 Coordinator Linda Sullivan 603-646-3920	Director of Project Management Services, Campus Services Patrick O'Hern	Campus Planning & Facilities
ADA/Section 504 -All other matters (students)	Interim Dean of the College Scott Brown	Student Accessibility Services Director Alison May 603-646-9900 Student Grievances Institutional Diversity & Equity ADA/504 Coordinator Linda Sullivan 603-646-3920 Institutional Diversity & Equity ADA/504 Coordinator Linda Sullivan 603-646-3920	Director, Student Accessibility Services Alison May	Student Experience
ADA/Section 504 -All other matters (employees)	Senior Vice President and Senior Diversity Officer Institutional Diversity & Equity (IDE) Shontay Delalue	Institutional Diversity & Equity ADA/504 Coordinator Linda Sullivan 603-646-3920	Institutional Diversity & Equity Equal Opportunity and Affirmative Action ADA/504 Coordinator Linda Sullivan	Audit and Oversight
ADA/Section 508 -Electronic Information Technology	VP for Information Technology and CIO Mitchel Davis	Institutional Diversity & Equity ADA/504 Coordinator Linda Sullivan 603-646-3920	Institutional Diversity & Equity ADA/504 Coordinator Linda Sullivan	Audit and Oversight
Admissions and Financial Aid -Financial aid accounting and controls	Provost David Kotz	Admissions and Financial Aid Vice Provost for Enrollment and Dean of Admissions Lee Coffin 603-646-2604	Director, Financial Aid G. Dino Koff	Audit and Oversight

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Admissions and Financial Aid -All other matters	Provost David Kotz	Admissions and Financial Aid Vice Provost for Enrollment and Dean of Admissions Lee Coffin 603-646-2604	Director of Admissions Paul Sunde  Director, Financial Aid G. Dino Koff  Director, Tuck Financial Aid Office Diane Bonin  Director, Geisel Financial Aid Office Michelle Chamley; Admissions Director, Aileen Panitz  Administrator, Graduate Admissions & Financial Aid, Thayer School of Engineering Candy Potter  Assistant Dean and Graduate Registrar, Guarini School of Graduate and Advanced Studies Gary Hutchins	Academic Excellence
Athletics	Director of Athletics and Recreation Mike Harrity	Athletics Senior Associate Athletics Director for Compliance Kentrell Kearney 603-646-2482  Athletics Assistant Athletics Director for Compliance Lauren Mackey 603-646-8810	Head Coaches	Student Experience
Clery Act	Executive Vice President Richard Mills	Department of Safety and Security Director Keiselim Montas 603-646-3796	Clery Act Compliance Officer J. Grace Alden	Student Experience
Conflicts of Interest, Research-related	Vice Provost for Research Dean Madden	Office of the Provost Director, Research Integrity Henrike Frowein 603-646-0703	Director, Research Integrity Henrike Frowein	Academic Excellence
Conflicts of Interest, Nonresearch-related	General Counsel Sandhya Iyer	Office of the General Counsel General Counsel Sandhya Iyer 603-646-0101	General Counsel Sandhya Iyer	Audit and Oversight

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Copyright & Fair Use Scholarly communications, course materials, etc.	Dean of Libraries Susanne Mehrer	Dartmouth College Library Associate Librarian for Digital Strategies Daniel Chamberlain 603-646-2582 Dartmouth College Library Scholarly Communication, Copyright & Publishing Program Director Shawn Martin 603-646-3565	Program Director for Scholarly Communication, Copyright & Publishing Shawn Martin	Academic Excellence
Copyright & Fair Use Software, music, media downloads (Digital Millennium Copyright Act [DMCA]-related issues)	VP for Information Technology and CIO Mitchel Davis	Information Technology & Consulting Chief Information Security Officer Sean McNamara 603-646-0611	Chief Information Security Officer Sean McNamara	Audit and Oversight
Donor Gift Restrictions	SVP for Advancement Robert Lasher  Chief Financial Officer R. Scott Frew  Vice President for Finance and Controller Dianne Ingalls	Advancement Chief Operating Officer Ann Root Keith 603-646-9379  Controller's Office Vice President for Finance and Controller Dianne Ingalls 603-646-3001	Director of Advancement Records Management Janet Gilson  Endowment Administration Director Sarah K. Labombard  Fiscal Officers	Audit and Oversight
Environmental Health & Safety	Vice President for Campus Services Josh Keniston	Environmental Health & Safety Director Annette Chism 603-646-1762	Principal Investigators Managers and Supervisors	Campus Planning & Facilities
Ethical Business Conduct	Executive Vice President Richard Mills  General Counsel Sandhya Iyer	Internal Controls Services Director Hector Rivera 603-646-2442	All Vice Presidents/Deans Director, Internal Controls Services Hector Rivera	Audit and Oversight
Export Control	Vice Provost for Research Dean Madden	Office of Sponsored Projects Director Jill Mortali 603-646-0678 Office of the Vice Provost for Research Director, Research Integrity Henrike Frowein 603-646-0703	Principal Investigators Department and School Administrators	Academic Excellence
Fire Safety	Associate Vice President Facilities Operations & Management Frank Roberts	Facilities Operations & Management Fire Safety Supervisor Michael Williams 603-646-6733	Fire Safety Manager Todd French	Campus Planning & Facilities

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Global Operations	Provost David Kotz  Executive Vice President Richard Mills	Office of the Provost Director, Global Initiatives Lisa Adams 603-646-8861  Controller's Office Vice President for Finance and Controller Dianne Ingalls 603-646-3001	Dean of Faculty, Deans of Professional Schools and Graduate & Advanced Studies, and Director of Global Initiatives  Vice President for Finance and Controller Dianne Ingalls	Audit and Oversight
Higher Education Act	Vice Provost for Enrollment and Dean, Admissions and Financial Aid Lee Coffin  General Counsel Sandhya Iyer	Financial Aid Director G. Dino Koff 603-646-2451  Office of the General Counsel General Counsel Sandhya Iyer 603-646-0101	Dean of the College Office: Marianne Thomson Copyright Information: Sean McNamara Health & Safety: Keiselim Montas	Academic Excellence
Human/Animal Subjects	Vice Provost for Research Dean Madden	Office of the Vice Provost for Research Institutional Official for Animal Research Roger Sloboda, 603-646-2377  Institutional Official for Human Subject Research Dean Madden, 603-646-0703  Institutional Animal Care and Use Committee (IACUC) Professor of Microbiology and Immunology Steven Fiering, 603-653-9966  Center for Comparative Medicine and Research Attending Veterinarian Kirk Maurer, 603-650-7147  Center for Comparative Medicine and Research Director P. Jack Hoopes, 603-650-5031  Committee for the Protection of Human Subjects Director Ann O'Hara, 603-646-6482	Principal Investigators  Attending Veterinarian, Kirk Maurer	Academic Excellence

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Human Resources <ul style="list-style-type: none"> <li>• Labor relations / NLRA</li> <li>• Employee benefits / Affordable Care Act / ERISA</li> <li>• Background checks / Fair Credit Reporting Act</li> <li>• Wage &amp; Hour/I-9 Reporting Act</li> </ul>	Executive Vice President Richard Mills	Human Resources Chief Human Resources Officer 603-646-3411	Department and School Administrators  Executive Director of HR Services Cheryl Guerin  Executive Director of Total Rewards Michelle Scichilone  Director, Employee Relations Sheila Wahl  Director of Benefits & Wellness Maria Barrios  Director of Compensation Denise Garn  Director of Talent Acquisition Mathias Machado	Audit and Oversight
Immigration, Students	General Counsel Sandhya Iyer	Office of Visa and Immigration Director Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Student Experience
Immigration, Nonstudents	General Counsel Sandhya Iyer	Office of Visa and Immigration Director Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Audit and Oversight
Information Security	VP for Information Technology and CIO Mitchel Davis	Information Security Chief Information Security Officer Sean McNamara 603-646-0611	Department Managers Information Security Representatives	Audit and Oversight
Insurance and Workers' Compensation	Chief Financial Officer R. Scott Frew	Risk Management and Insurance Director Tina Levengood 603-646-2442	Associate Director, Risk Management Lisa Roche	Finance
Intellectual property / patent compliance / technology licensing	Associate Provost Entrepreneurship & Technology Transfer Eric Fossum	Technology Transfer Office Director Kim Rosenfield 603-646-9697	Director, Technology Transfer Office Kim Rosenfield	Academic Excellence

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<b>International</b>				
• Clery	Executive Vice President Richard Mills	Department of Safety and Security Director, Keiselim Montas 603-646-3796	Department of Safety and Security Clery Act Compliance Officer J. Grace Alden	Audit and Oversight
• International Staffing	Executive Vice President Richard Mills	International Staffing Committee email: InternationalStaffingCommittee@groups.dartmouth.edu	Human Resources: Cheryl Guerin  Controller's Office: Dianne Ingalls	Audit and Oversight
• Export Control	Vice Provost for Research Dean Madden	Office of Sponsored Projects Director, Jill Mortali 603-646-0678  Office of the Vice Provost for Research Director, Research Integrity Henrike Frowein 603-646-0703	Principal Investigators	Academic Excellence
• Foreign Influence	Vice Provost for Research Dean Madden	Office of the Vice Provost for Research Director, Research Integrity Henrike Frowein 603-646-0703	Principal Investigators	Academic Excellence
• Foreign-sourced gifts and contracts (Section 117 of the HEA)	Vice Provost for Enrollment and Dean, Admissions and Financial Aid Lee Coffin  Executive Vice President Richard Mills	Financial Aid Director, G. Dino Koff 603-646-2451  Controller's Office Vice President for Finance and Controller Dianne Ingalls 603-646-3001	Office of the Vice Provost for Research Henrike Frowein  Office of Sponsored Projects Jill Mortali	Audit and Oversight
• Global Operations	Provost David Kotz  Executive Vice President Richard Mills	Office of the Provost Director, Global Initiatives Lisa Adams 603-646-8861  Controller's Office Vice President for Finance and Controller Dianne Ingalls 603-64-3001	Dean of Faculty, Deans of Professional Schools and Graduate & Advanced Studies, and Director of Global Initiatives  Vice President for Finance and Controller Dianne Ingalls	Audit and Oversight
• Immigration, Students	General Counsel Sandhya Iyer	Office of Visa and Immigration Director, Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Student Experience
• Immigration, Nonstudents	General Counsel Sandhya Iyer	Office of Visa and Immigration Director, Susan Ellison 603-646-3474	Office of Visa and Immigration Susan Ellison	Audit and Oversight
• Research Contracts	Vice Provost for Research Dean Madden	Office of Sponsored Projects Director, Jill Mortali 603-646-0678	Principal Investigators	Academic Excellence

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Investment Regulation	Chief Investment Officer Alice Ruth	Investment Operations Chief Operating Officer for the Investment Office Heather Huff 603-646-9095	Chief Operating Officer for the Investment Office Heather Huff	Investment
Land Use	Executive Vice President Richard Mills	Campus Services Vice President Josh Keniston 603-646-0458  Facilities, Operations & Management Associate Vice President, Frank Roberts 603-646-3924	Real Estate Director, Daniel Justynski 603-646-2654	Campus Planning & Facilities
Minors	General Counsel Sandhya Iyer	Dartmouth Office of the President Minors Protection Officer/Executive Director of the President's Office Marion ("Mimi") Simpson 603-646-0348	Department and School Administrators	Audit and Oversight
Nondiscrimination and affirmative action on employment (excluding sexual harassment)	Provost David Kotz	Institutional Diversity and Equity (IDE) Senior Vice President and Senior Diversity Officer Shontay Delalue 603-646-9167	Senior Vice President and Senior Diversity Officer Institutional Diversity and Equity (IDE) Shontay Delalue	Audit and Oversight
	Executive Vice President Richard Mills	Human Resources Chief Human Resources Officer 603-646-3411	Chief Human Resources Officer	
Nondiscrimination in programs (including Title IX/sexual harassment and sexual violence)	Provost David Kotz	Title IX Office Assistant Vice President for Equity & Compliance Kristi Clemens 603-646-0922	Assistant Vice President for Equity & Compliance Kristi Clemens	Student Experience
Occupational Safety	Vice President for Campus Services Josh Keniston	Environmental Health & Safety Director Annette Chism 603-646-1762	Department and School Administrators	Campus Planning & Facilities

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Privacy: Student Academic Records	Provost David Kotz	Dean of Faculty, Deans of Professional Schools and Graduate & Advanced Studies, and Director of The Dartmouth Institute for Health Policy & Clinical Practice	Registrar Eric Parsons  Registrar, Thayer School of Engineering, Daryl Laware  Registrar, MBA Program, Tuck School of Business, Stacie J. Marshall  Registrar, Geisel School of Medicine Michele W. Jaeger  Registrar and Assistant Dean, Guarini School of Graduate & Advanced Studies, Gary Hutchins  Registrar, The Dartmouth Institute, Liz Koelsch	Academic Excellence
Privacy: Nonstudent Records	General Counsel Sandhya Iyer	Office of the General Counsel General Counsel Sandhya Iyer 603-646-0101	General Counsel Sandhya Iyer	Audit and Oversight
Property Management	Executive Vice President Richard Mills	Campus Services Vice President Josh Keniston 603-646-0458	Associate Vice President of FO&M, Campus Facilities and Operations Frank Roberts  Director of Real Estate Daniel Justynski	Campus Planning & Facilities
Records Management	Provost David Kotz	Dartmouth Library Associate Librarian for Research and Learning Jennifer Taxman 603-646-2237	Department and School Administrators	Audit and Oversight
Research Administration	Provost David Kotz	Office of the Vice Provost for Research Vice Provost for Research Dean Madden 603-646-0703	Principal Investigators Departmental and School Administrators Office of Sponsored Projects	Academic Excellence



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Research Oversight (biological, chemical, radiological)	Vice Provost for Research Dean Madden	Institutional Biosafety Committee (IBC) Director, Environmental Health & Safety Annette Chism 603-646-1762	Erik Pietrowicz, Interim Biological Safety Officer, Environmental Health & Safety; Principal Investigators	Academic Excellence
	Provost David Kotz	Office of the Vice Provost for Research Vice Provost for Research Dean Madden 603-646-0703	Principal Investigators Department and School Administrators Office of Sponsored Projects	
		Office of the General Counsel Sandhya Iyer 603-646-0101		
Tax Exempt organization regulation • Form 990 Reporting • Lobbying / political activity • Post-issuance bond compliance	Executive Vice President Richard Mills	Controller's Office Vice President for Finance and Controller Dianne Ingalls 603-646-3001	Senior Tax Analyst Rachel Williamson	Audit and Oversight
Taxes	Executive Vice President Richard Mills	Controller's Office Vice President for Finance and Controller Dianne Ingalls 603-646-3001	Senior Tax Analyst Rachel Williamson	Audit and Oversight
	VP, Campus Services Josh Keniston	Real Estate Office Director of Real Estate Daniel Justynski 603-646-2654	Director of Real Estate Daniel Justynski	