

Annual Report 2014 – 2015

Council on the Libraries

James LaBelle, Chair

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Introduction

The Council on the Libraries (CoL), composed of faculty representatives from each academic division as well as students and selected library staff, fulfills at least two significant roles: it advises senior library staff including the Dean of Libraries on the efficacy of ongoing and proposed library practices and developments, and it disseminates to faculty and staff information about library services and developments. To accomplish these, the Council is constantly reviewing library practices and developments and articulates visions for a library that engages in matters of open access, educational technology (“the classroom of the future”) and faculty support. It advises the Dean of Libraries on formulation and implementation of policies for the acquisition, housing, and preservation of library resources, on development of library services for the benefit of the libraries' users, and on other matters of general library policy. It also advises on the apportionment of the acquisitions funds of the libraries, keeping the Dean of Libraries informed concerning library needs for programs of instruction and research, and serves as liaison between the Dean, the faculties of Dartmouth and the administration.

The Council meets approximately once per month during the academic year with representation as follows: The Council on the Libraries consists of the Dean of Libraries; the Provost or his or her representative; the Dean of College or his or her representative; six members of the Faculty of Arts and Sciences (two from each division, from different departments, appointed by the Committee on Organization and Policy); one member from each of the three Professional Schools; and three students, two undergraduates and one graduate. The Director of Academic Computing serves as a non-voting member.

Faculty Members and meeting attendance (seven meetings) for 2014-15

Brett Gamboa

Assistant Professor of English

Mark Borsuk

Professor of Engineering, Thayer School of Engineering

Doug Irwin (Fall only)

John Sloan Dickey Third Century Professor in the Social Sciences

William Fischel (Winter, Spring)

Professor of Economics and Robert C. 1925 and Hilda Hardy Professor of Legal Studies

Steve Kahl

Associate Professor of Business Administration Tuck School of Business

James LaBelle

Department Chair and Professor of Physics and Astronomy

Mark McPeck

David T. McLaughlin Distinguished Professor of Biological Sciences

Emily Walton

Assistant Professor, Department of Sociology

Zeynep Turkyilmaz

Assistant Professor of History

Glenda Shoop

Director of Curricular Design and Evaluation, Office of Medical Education Geisel School of Medicine

Andrew Vacca

Graduate student, Ecology & Evolutionary Biology

Yi He

Undergraduate student

In addition, **Elizabeth Kirk**, Associate Librarian for Information Resources, **David Seaman**, Associate Librarian for Information Management, **Jennifer Taxman**, Associate Librarian for User Services, **Ellen Waite-Franzen**, Vice President for Information Technology and Chief Information Officer, and **Jeffrey Horrell**, Dean of Libraries and Librarian of the College, participated in the meetings.

Summary

The dominant item of business for the Council, which was discussed extensively at every meeting, was the Open Access Resolution (see attachment 1 and 2). This activity culminated in the passage of the resolution by the Arts and Sciences Faculty at its April meeting. In addition to this major topic, the Council followed closely the renovations at Jones Media Center, as well as those at Paddock Music Library and proposed for Baker-Berry. A third topic was review of a major strategic thinking document prepared by library staff during the year, "Dartmouth College Library: Strategic Thinking 2015-2020".

<https://www.dartmouth.edu/~library/admin/docs/Library2020.pdf>

Meeting Venues

All meetings except one were held in the Treasure Room of Baker Library. One of these included a tour of the Jones Media Center. One meeting, in January, was held in the seminar room on the second floor of Alumni Hall in the Hopkins Center, and was followed by a tour of the Paddock Music Library. Unlike previous years, there was no joint Council on The Libraries/Council on Computing meeting in 2014-5.

Open Access Resolution

The Open Access (OA) resolution was discussed extensively at every meeting. The Council's consideration of the resolution fell into three phases. At the beginning of the year, discussion focused on the OA as it had been developed and approved by the CoL in a previous year. This version was scheduled for consideration by the Arts and Sciences Faculty at its Nov 3 meeting, and the Council focused its attention on how the resolution would be presented at the meeting, and on supporting and advising library staff on how best to disseminate information about the resolution in advance of the meeting. Some discussion addressed the need for a subcommittee to oversee implementation of the policy, assuming it is approved.

The resolution was presented at a packed meeting of the Arts and Sciences Faculty on Nov 3. In a meeting memorable for other contentious issues raised, the OA resolution received more concern than expected from certain faculty; in particular, the influential Committee on Faculty (COF) expressed opposition to the version of the OA resolution presented at the meeting. The President made the decision to table the resolution rather than push for a vote on it. In its second phase of activity related to the OA resolution, the Council responded to the outcome of the November 3rd meeting. First, a subcommittee of the CoL met with a subcommittee of the COF to consider the latter committee's concerns about the resolution. These mainly pertained to the ease with which faculty could obtain waivers to the requirements on faculty publications imposed by the resolution. In a meeting of the subcommittees and in numerous subsequent conversations, additional wording was introduced into the resolution to specify that such waivers will be not only automatic, as implied by the original version, but also obtainable by electronic means and possible to arrange with one request for all of a given faculty members work. Library staff developed and demonstrated to the CoL software implementing the automatic waivers. Finally, a revised OA resolution was developed, which is appended at the end of this report. The CoL took two meetings to consider the revised resolution, in order to be sure that all members could be present for at least some of the discussion, and in order to allow members to interact with their departments and faculty peers in between the meetings. The revised resolution was approved by the Council in its March meeting, in time for it to be reconsidered in the April meeting of the Faculty of Arts and Sciences. The Council expended some effort discussing how best to present the motion at that meeting, and how best to disseminate information to the meeting in advance of the meeting.

At the April meeting, the chair of the CoL spoke briefly about the resolution, and one or two faculty, including the chair of the COF, expressed support for the resolution. The resolution passed unanimously in very quick order. Thus began the final phase of consideration of OA by the 2014-5 Council on Libraries, which was focused on implementation. The Council approved the notion of a subcommittee to work with library staff on implementation and policy issues. Several members of the subcommittee were named immediately, including Professor McPeck who would be the chair of the subcommittee. The chair was charged with contacting other faculty to join the subcommittee. It was agreed that the subcommittee should begin its work in the summer of 2015, since policy and implementation in response to the OA resolution are already ongoing.

Library Renovation Projects

At almost every meeting, the Council reviewed or considered ongoing or proposed library renovation projects. The dominant topic in this regard was the Jones Media Center renovation, which took place during the year. The CoL was briefed on the renovation plans at the start of the year, and in the middle of the year was informed on progress as the renovations occurred. This information included a tour of the nearly finished Jones Media Center renovations at the April CoL meeting.

Two other projects were discussed. Early in the year, the Council heard and discussed proposed plans to improve Baker-Berry, possibly to be funded by the capital budget process. The plans would transform the use of the lower level of Berry for special collection materials. This project was not approved for the budget. The Council was briefed on the completed renovations of the Paddock Music Library. This discussion included a tour of the facility at the January meeting.

“Strategic Thinking” Document

In several meeting, the Council considered and discussed a strategic thinking document being prepared by library staff. At the beginning of the year, the discussion involved review of a draft of the document in its very early stages, with several of the library staff authors participating in the discussion. At the end of the year, the Council reviewed the finished document, entitled “Dartmouth College Library: Strategic Thinking 2015-2020.” Reactions from the Council were generally positive about the scope and contents of the document.

Other Topics

The Council entertained a variety of other interesting presentations and productive discussion during 2014-5. For example, the Council was briefed on activities related to libraries and information technologies being pursued as part of the Matariki Network, an international consortium of universities of which Dartmouth is a member. Library staff who attended the most recent Matariki group meeting briefed the Council on developments and outcomes of that meeting. At an early meeting, the Council was briefed on the formation of a Provost-appointed task force to advise the libraries on acquisitions and funding for them. The task force has broad representation from the faculty and schools. A report of the task force was presented to the Provost in March.

In another meeting, the Council was briefed on storage facility issues. Projections show that the libraries' needs for storage space will exceed its capacity very soon. Some possible solutions and mitigations of this problem were discussed, but this issue has the potential to be an important focus of the Council in upcoming years. In particular, most solutions require funding that needs to be advocated for by faculty.

The Council had the opportunity to learn about Dartmouth's participation in developing massive open online courses (MOOCs). Professor Steve Swayne, Chair of the Music Department, and instructor of one of four Dartmouth courses was selected for the first round of DartmouthX developments, together with several members of his team, spoke to the Council at its January meeting. The presentation included clips of lectures on Italian opera, as well as information on methods and techniques involved in creating the MOOCs by more technical members of the team.

Finally, the Council expended a lot of discussion time, especially in the last half of the year, on the general topic of "spaces on campus," or more specifically, spaces within the libraries and how they are used at different times of the academic year. Library staff presented very interesting information on this topic, including quantitative data on space and seating available with comparison of these with peer institutions. Student members of the council contributed to the discussion. The Council raised the importance of study spaces on campus, and considered issues of how efficiently they are used, and debated the desirability as well as methods of using them more efficiently. By the end of the year it was clear that this topic can be the subject of fruitful discussions and attention of the Council in the upcoming 2015-6 year.

Attachment 1

Dartmouth Faculty Resolution:

Increase Impact Through an Open Repository for Dartmouth Scholarship

Open-access (OA) scholarship is digital, online, free of charge, and free of most copyright and licensing restrictions. What makes it possible is the internet and the consent of the author or copyright-holder. Before the internet, subscription access to scholarship was necessary in order to identify readers and ensure that they had access to resources. Now that readers are able to find and access information online, subscriptions limit readership to identified, paying customers, thus limiting rather than ensuring the potential impact of published scholarship.

Dartmouth Faculty "Open Access" Policy

The faculty at Dartmouth are committed to disseminating the results of their research and scholarship as widely as possible. In addition to the public benefit of such dissemination, this policy serves faculty interests by promoting greater reach and impact for scholarly articles, by simplifying authors' retention of distribution rights, and by enabling long-term preservation of Dartmouth's record of research and scholarship. In keeping with these commitments, the faculty adopt the following policy:

The faculty member voluntarily grants to Dartmouth permission to make his or her scholarly articles available in the Dartmouth-created Open Digital Repository for Dartmouth Scholarship, and to exercise the copyright in those articles in order to distribute those articles for the purpose of open dissemination. The Dartmouth faculty author remains the copyright owner under this policy, unless and until that author subsequently chooses to transfer all copyrights to another party. Each co-author of a paper holds copyright to the paper and can therefore grant this prior non-exclusive license.

In legal terms, each faculty member voluntarily grants to Dartmouth a nonexclusive, royalty-free, world-wide license to exercise any necessary rights under copyright law relating to each of his or her scholarly articles covered by this policy in order to make them available through the digital repository for the purpose of open dissemination. Under no circumstances may Dartmouth sell the articles. The policy applies to the author's final peer reviewed, pre-published version of all scholarly articles authored or co-authored while the person is a member of the Dartmouth faculty except for any articles completed before the adoption of this policy and any articles for which the faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy.

A faculty member can opt out of the policy for any reason for a particular article or delay access to a particular article for a specified period of time. To accomplish this, a waiver of the license for a particular article or delayed access for a specified period of time will be automatically and immediately given to a faculty member upon request through electronic means; no reason for the request is needed. In addition, a faculty member can opt out of the policy for all future articles; this lifetime waiver of rights will also be automatically and immediately given to the faculty member for any reason upon request through electronic means; no reason for the request is needed. A faculty member can opt out of the policy for an article at any time, even after publication. The faculty member's request for a waiver under this policy will never be questioned or disputed.

The Council on Libraries, in conjunction with the Dartmouth Library and others, will develop and monitor a plan for a service or mechanism that would render implementation of the policy as part of the Open Digital Repository for Dartmouth Scholarship as convenient for the faculty as possible.

Implementation of the policy and the service model for the repository will be reviewed by the Council on Libraries after three years and a report presented to the faculty.

History of the Policy

Council on Libraries 1182013

Revision proposed by Academic Planning Committee 1242013 Approved by the Faculty of the Thayer School of Engineering 3172013

Approved by Committee of Chairs of the Arts & Sciences Faculty 5112114 Amended 11112014

Discussed at the Arts & Sciences Faculty Meeting 113114

Amended 111112014, pursuant to instructions from the Council on the Libraries

Amended 118115 by subgroup of Council on tile Libraries; minor et/it 1112115

Amended 216115 by subgroup of Council on tile Libraries am/ Committee of tile Faculty; minor edits 2110115 Amended 2116115 by a subgroup of tile Committee on the Faculty

Amended version approved 03109115 by Committee on tile Faculty Amended version approved 03112115 by the Council on Libraries Approved by tile Faculty of Arts & Sciences 011 427115

Scholarly Publishing & Communication: Dartmouth Faculty Open Access Policy

Locate current resources on all aspects of scholarly publishing and communication across all subject areas.

About the Dartmouth Faculty Open Access Policy Resolution

The Dartmouth Faculty Open Access Policy and associated materials are on the Council on the Libraries web page under Current Topics. The policy was approved by the Thayer School of Engineering in March 2013, and by the Faculty of Arts & Sciences in April 2015.

What is the purpose of the Dartmouth Faculty Open Access Policy Resolution?

This policy provides for scholarly journal articles to be made open access in the long term via the institution's digital repository, through grant of a license on the part of the faculty to Dartmouth prior to publishing their work. Authors own

Frequently Asked Questions

1. Exactly what materials are covered under the Policy?

The final peer reviewed, pre-published version of the scholarly articles authored by Dartmouth faculty are specified in the open access policy. For this purpose, "scholarly articles" are defined as works describing the outcome of scholarly study or research that are produced with no expectation of payment, such as those usually made available through peer-reviewed scholarly journals and conference proceedings. Although scholarly articles of this nature are specified, this does not prevent an author from making other kinds of material open access using the infrastructure built to provide access to articles under this policy. The policy applies to articles submitted for publication after adoption of the policy.

2. How will this policy work?

- You submit your article to the journal of your choice (you own all the copyrights until you transfer these to the publisher)
- After the peer review process is finished and the paper accepted, you sign the copyright transfer agreement (under the Dartmouth Open Access policy, you have already retained rights to your final peer reviewed version)
 - In the rare cases where the publisher asks that the rights held under this policy be waived for the article, you can opt out of the Dartmouth Open Access Policy by sending the article information to Open.Access.Waiver@dartmouth.edu. You will automatically receive a note that the policy is waived for that article.
 - In the many cases where the publisher asks authors to embargo posting their articles for a given period of time, no action is required on your part.
- You forward your final, peer-reviewed, pre-published article to a designated e-mail address but if that version is already available in a repository, it will be gathered directly from that source.
- The final peer reviewed version of the article will be made available to the public through the Dartmouth digital infrastructure developed for promoting scholarly work at Dartmouth, the Dartmouth Academic Commons.

3. How does this apply to co-authored papers?

Each author of an article holds copyright in the article individually, so any author of a co-authored paper can assign the prior license without getting permission from the other co-authors. It is not necessary to get

the copyright to their work so can grant this prior license to the institution before they sign the copyright or license transfer to the publisher.

It does NOT require faculty to publish in open access journals.

Faculty continue to send their work to whatever journals they choose.

What does the Policy do?

- Applies to scholarly articles only
- Allows for sharing on the web, reuse in publications, and for course readings-legally!
- Allows the institution to provide long term preservation
- Includes embargoes and "opt out" options

permission from each co-author; however, the co-authors are encouraged to communicate about the policy. If a co-author prefers not to have the article available under this policy, the author can choose to ask for a waiver.

4. What if the publisher objects to my having transferred a license to Dartmouth before signing the copyright transfer agreement?

Publishers often allow authors rights to post the final peer reviewed version of their articles on open access repositories, so this is not usually an issue at all. In the few cases where the publisher asks the author to waive the Dartmouth Faculty Open Access policy for the article, a waiver is automatically granted via an email request. This is covered in the the "opt out" part of the policy.

5. How does this policy relate to open access publishing?

This policy addresses providing access to articles that are published in a wide variety of outlets with different business models. It does not require an author to choose an open access journal or to pay the additional fees required by some publishers to make an individual article open access.

6. Can I have an embargo on access to my article?

Yes, you can request an embargo for a particular article by sending the article information to Open.Access.Waiver@dartmouth.edu and it will be automatically granted.

7. What kinds of uses are covered under the Dartmouth Faculty Open Access Policy?

Dartmouth cannot sell the articles, so for example Dartmouth cannot created a course pack for sale out of the articles made open access by this policy.

8. I use images and other media in my articles, and often have to pay for permission to use these. Do I have to pay again to have my complete article made openly available under this policy?

No, you do not have to seek permissions again, since any arrangements for materials in your articles continue to apply under the open access policy.

9. If I am no longer at Dartmouth, will I be able to remove my article?

Policies about retention of the articles in the digital repository have yet to be developed with input from faculty.

10. I already put the final peer-reviewed version of my article into the open access PubMedCentral due to grant requirements or in an open access repository (arXiv.org or Repec are examples). Will I have to follow a similar process for this?

No extra steps need to be taken unless a waiver is requested.

11. Have there been legal challenges to this kind of policy? What

about "restraint of trade" for example?

No, since there is a solid legal and contractual basis for this kind of faculty open access policy, including the transfer of copyright and restraint of trade questions. Faculty open access policies do not result in any restraint of trade and are not a threat to journal publishing business models such as subscriptions.