



Annual Report of the Council on Sponsored Activities Fiscal Year 2016

The Council on Sponsored Activities (CSA) is responsible for proposing and endorsing internal policies regulating activities sponsored by agencies outside the College. In addition, the CSA proposes and endorses institutional positions in response to policies of external sponsoring agencies as they affect the College. On a periodic basis, the CSA reviews the scope and impact of sponsored activities in relation to institutional purpose. The membership of the committee consists of the Provost; the Executive Vice President for Finance; the General Counsel (OGC); the Director of Office of Sponsored Projects (OSP); the Deans of the Faculty of Arts & Sciences, Geisel School of Medicine, Thayer School of Engineering, and Tuck School of Business; two faculty members appointed by the Steering Committee of the General Faculty (SCGF); and two members from the Faculty of Arts & Sciences delegated from the voting membership of the Committee on the Faculty (COF).

The CSA met 3 times during the year: November 5, 2015; March 8, 2016; and May 23, 2016.

The members were: Martin Wybourne, Chair (Provost representative); Michael Wagner (EVP representative); Jill Mortali (OSP); Lori Sostowski (OGC representative); Elizabeth Smith (Dean of Faculty of A&S representative); Leslie Henderson (Geisel Dean representative); Tillman Gerngross (Thayer Dean representative); Punam Keller (Tuck Dean representative); Charles Sentman (SCGF); Elsa Garmire (SCGF); and Mary Lou Guerinot (COF). A second COF delegate was not appointed.

The main issues addressed by the Council were:

Intellectual Property Policy

The primary agenda item for the CSA during the Fall term was proposed changes to the Intellectual Property Policy. At the November meeting, representatives of the Office of Entrepreneurship and Technology Transfer, and the Office of the General Counsel (OGC) shared a draft of the proposed new Policy on Rights and Responsibilities with Respect to Intellectual Property, which consolidates existing patent and copyright policies, clarifies IP ownership, and codifies operating practices. The proposed policy retained the previous model, in which Dartmouth College has ownership of intellectual property, and added an alternative “Option 2,” which allows inventors to have the College take an interest in a start-up company instead of taking ownership of the intellectual property. The CSA also reviewed proposed changes to the Policy on Acceptance of Equity. The Council suggested clarifications to the policies and voted to endorse revised versions, recommending them for consideration by the Provost and academic Deans. The policies were approved by the Provost and the Board of Trustees in March 2016.

Policy on Start-Up Company Activities in Dartmouth College Space

During the Winter and Spring terms, the CSA considered proposed updates to guidelines for Start-Up Company Activities in Dartmouth College Space. The current guidelines are set forth in a 2004 memorandum from the OGC and are included on a list of policies to be reviewed and updated approximately every 10 years. The policy review suggested that the guidelines do not reflect current practice and are not applied consistently. The Council agreed that faculty entrepreneurship was positive for the institution and should be encouraged within appropriate parameters. There was consensus that formalizing the guidelines into a College policy would be useful, but many of the details need further consideration. The Council recommended constituting a working group of faculty and administrators to work on the policy.

Geisel/D-H Transition

At the May meeting, the Council was updated on the reorganization of clinical departments at Geisel and D-H. The Council was provided the text of a message to Principal Investigators and Grant Administrators at Geisel outlining the framework for the transition, which is expected to occur over the coming academic year.

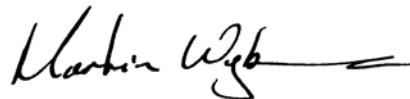
Sponsored Awards and Proposals for Fiscal Year 2016

The summary of the Annual Sponsored Awards and Proposals for FY2016 is attached to this report and the complete report will be located at the Sponsored Projects website:

<http://www.dartmouth.edu/~osp/resources/reports/>.

I trust this information provides a helpful summary of the CSA activities during the past year.

Respectfully submitted,



Martin Wybourne
Chair, Council on Sponsored Activities

September 13, 2016