Registrar's Office Annual Report 2015-16

The Registrar supports and advances the systems and structures of Dartmouth’s unique learning environment and safeguards the integrity of the institution’s regulations and records.

HIGHLIGHTS 2015-16:

- Finalized the new schedule of classes which took effect summer term 2016
- Implemented an initiative to track, prioritize, survey, and assess our delivery of student and faculty services
- Provided departments/programs with standardized data warehouse reports on dashboards to facilitate their operations
- Supported faculty research with data to help them improve their teaching
- Developed data warehouse reports to improve Registrar operational functions and end office dependencies on outdated technologies (VMS, TrueBasic)

WHAT DO WE DO?

The Office of the Registrar maintains, preserves, and disseminates students' permanent academic record and provides services for Arts and Sciences students and faculty at Dartmouth in the areas of academic policy, enrollment and course information. Activities include course election, registration, and class scheduling, major and minor declaration, grade processing, Catalog (ORC) production, graduation support, academic transcript delivery, and student enrollment management.

AND we also:

- develop and publish the academic calendar
- provide guidance about the academic curriculum
- act as a central academic information source for the College
- schedule centrally reserved classrooms and final examinations

We:

- manage on-line course assessment and reporting
- certify recipients of Veteran's Administration educational benefits
- oversee student enrollment patterns and "D"-Plans
- manage Faculty elections to committees
- review student academic petitions for exceptions to faculty policies
- administer support for students who study off-campus while on a leave term
- support transfer students and manage the transfer credit process
In addition we:

- report enrollment to the National Student Clearinghouse to support financial aid and student loans
- provide Degree and Enrollment verification support for students
- provide Apostille notarization for international recognition of credentials
- manage Banner (student system) processes and security access
- administer DegreeWorks degree audit to support progress towards the degree and academic program planning
- train and support Department/Program Administrators on course and course system management
- assist with First-year seminar and Writing 5 enrollments

And also, we:

- lead multiple internal, cross-divisional and institution-wide projects
- act as the primary liaison with IT for faculty members' administrative system implementations
- provide extensive reporting services to UG Arts and Sciences Faculty and the Dean of the Faculty Office
- support the Dean of Faculty as a member of the Dean's Senior Leadership Team
- participate in and support numerous Faculty and ad hoc committees
- comply with and educate the campus about Federal, State, and Institutional legislation
- provide consulting, such as for the American University of Kuwait
- present at national conferences such as those sponsored by AACRAO
- participate as board members in regional and national professional organizations
- advocate for higher education policy issues that impact our students and faculty
- provide other Dartmouth registrar's offices with systems leadership, support, and oversight from the functional/technical perspective, and with standards of practices and professional leadership

Goals and Focus

The Registrar's Office continued to focus on providing exceptional service to the Dartmouth Community, working closely with both academic and administrative departments to provide leading edge technology to support administrative processes. We support students in attaining their educational goals and strive for efficient and effective processes related to academic records, registration, enrollment data and graduation. We seek continuously to cultivate an environment that encourages growth, supports academic integrity, protects student confidential data, promotes teamwork, encourages staff/constituent investment, and respects each individual.
Production

"Production" activities are those that are required in the usual academic cycle. Additional time is spent on "projects," which are described in the next section, and "service". Below are representations of our main functions and some general measurements of time spent on production.

- Communication and student data processing consumes the largest amount of our production time (28% and 20%)
- Summer term has the largest number of production items
- May, June, and September have the most production items (as expected, with graduation, two course elections, deadlines for major declaration and initial D-Plan selection occurring in the spring, and students entering in the fall).
- Fall is also busy with the arrival of new students and the start of the academic year.

In summary, we are focusing more than ½ of our production time on our key priorities: service (communications), records work (student data processing) and reporting service. Note, this does not include service data we are unable to capture here such as phone calls, emails, faxes and walk-in service.
COMMITTEE WORK - Registrar

Continued to work with multiple committees which include:

- Committee of Chairs
- Committee on Instruction
- Committee on Withdrawals
- Enrollment Committee
- Classroom Subcommittee
- Student Systems Oversight Committee – Chair
- Dartmouth Information Security Council
- Dartmouth Registrar’s Committee – Chair
- Curricular Review Committee
- Language Waiver Committee - (Associate Registrar for Curriculum & Graduation attends)
- Committee on Student Life
- Presidential D-Plan Review Committee
- Multiple ad hoc committees

PROFESSIONAL DEVELOPMENT - Registrar

- AACRAO – American Association of Collegiate Registrars and Admissions Officers. Vice President, Leadership and Management Development

- AACRAO 102nd Annual Meeting, Phoenix, AZ

- AACRAO Leadership Meeting, Washington, DC
- NEACRAO – New England Association of Collegiate Registrars and Admissions Officers
- NEACRAO 67th Annual Meeting, Newport, RI

- Ivy+ Registrars Annual Meeting, Cornell University, Ithaca, NY

PROFESSIONAL DEVELOPMENT – Associate/Assistant Registrars

- NEACRAO 67th Annual Meeting, Newport, RI (1 staff attended)
- Ivy+ Registrars Annual Meeting, Cornell University, Ithaca, NY (1 attendee)

- NEBUG Annual Meeting, (1 attendee)
- DegreeWorks Conference, Denver, CO (1 attendees)
Staffing and Promotions

- Staffing changes
  - Replacements
    - Senior Associate Registrar, April 2016
    - Service Specialist, June 2016
  - (Very) part-time Data Warehouse/Data Analysis support - October 2015
  - Additional Student Position:
    - Student Intern - Application Support Specialist, January 2016

Some Data on our Operations

In the past year, the Registrar's Office Staff:

Processed 8,112 official transcripts from Banner

<table>
<thead>
<tr>
<th>Academic Year</th>
<th>Banner Transcripts</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009</td>
<td>19,159</td>
</tr>
<tr>
<td>2010</td>
<td>17,245</td>
</tr>
<tr>
<td>2011</td>
<td>16,561</td>
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<tr>
<td>2012</td>
<td>13,819</td>
</tr>
<tr>
<td>2013</td>
<td>12,237</td>
</tr>
<tr>
<td>2014</td>
<td>9,257</td>
</tr>
<tr>
<td>2015</td>
<td>8,076</td>
</tr>
<tr>
<td>2016</td>
<td>8,112</td>
</tr>
</tbody>
</table>

- Unofficial Banner Transcripts were made available to students in December of 2009
- Unofficial eTranscripts were made available to students in January 2013
- Both have contributed greatly to the continuing decrease in the overall official transcript volume.
- This allows students to use unofficial transcripts when there is no need to use an official transcript, and is a cost savings to the College.
- Official transcript volume is split 60/40 between eTranscripts and paper transcripts.
- Paper official transcript volume is down to 3,264.
772 courses went through the Dartmouth Course Approval Routing System (DCARS) in its first full year. Additionally, 118 courses were newly cross-listed in the system.

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
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</thead>
<tbody>
<tr>
<td>Updated Courses</td>
<td>444*</td>
</tr>
<tr>
<td>New Courses</td>
<td>328</td>
</tr>
<tr>
<td>Total Courses</td>
<td>772</td>
</tr>
<tr>
<td>Crosslists Created</td>
<td>118</td>
</tr>
</tbody>
</table>

**DCARS Usage, 2015-16**

**Managed 5,490 D-Plan term changes**

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<tr>
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</thead>
<tbody>
<tr>
<td>Manual Changes</td>
<td>4,574</td>
<td>4,944</td>
<td>4,218</td>
<td>3,621</td>
<td>3,991</td>
<td>3,688</td>
<td>3,838</td>
<td>4,104</td>
</tr>
<tr>
<td>Online Changes</td>
<td>0</td>
<td>0</td>
<td>1,474</td>
<td>1,619</td>
<td>1,529</td>
<td>1,435</td>
<td>1,377</td>
<td>1,386</td>
</tr>
<tr>
<td>Total</td>
<td>4,574</td>
<td>4,944</td>
<td>5,692</td>
<td>5,240</td>
<td>5,520</td>
<td>5,123</td>
<td>5,215</td>
<td>5,490</td>
</tr>
</tbody>
</table>

*D-Plan changes were made available to students online in November of 2010; however there is still a large volume of changes that must be made manually. It is disappointing to see the manual volume remain high, and actually continue to increase.*
Managed scheduling and offering of 2,099 courses:

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<tr>
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</thead>
<tbody>
<tr>
<td>Total</td>
<td>2,024</td>
<td>2,039</td>
<td>2,062</td>
<td>2,106</td>
<td>2,177</td>
<td>2,168</td>
<td>2,168</td>
<td>2,099</td>
</tr>
</tbody>
</table>

Each course count represents every offering with a unique subject, course number and title combination with the exception of cross-listed courses which are counted once. Off-Campus Study courses are included.

Assigned 2,006 courses to classrooms from the academic schedule*, and 861 courses to classrooms for final examinations.

*Does not include classrooms assigned for small arranged courses, language drills, or other ad-hoc classroom assignments.
Reviewed and processed 1,035 student petitions to the Registrar

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</thead>
<tbody>
<tr>
<td>Change in Grade</td>
<td>145</td>
<td>121</td>
<td>150</td>
<td>259</td>
<td>123</td>
<td>121</td>
<td>151</td>
<td>136</td>
</tr>
<tr>
<td>Add Course After Deadline</td>
<td>104</td>
<td>82</td>
<td>93</td>
<td>134</td>
<td>122</td>
<td>81</td>
<td>108</td>
<td>116</td>
</tr>
<tr>
<td>Drop Course After Deadline</td>
<td>45</td>
<td>31</td>
<td>38</td>
<td>36</td>
<td>19</td>
<td>28</td>
<td>46</td>
<td>39</td>
</tr>
<tr>
<td>Additional 2-Course Load</td>
<td>41</td>
<td>34</td>
<td>40</td>
<td>43</td>
<td>40</td>
<td>47</td>
<td>48</td>
<td>71</td>
</tr>
<tr>
<td>Additional 4-Course Load</td>
<td>35</td>
<td>25</td>
<td>27</td>
<td>30</td>
<td>28</td>
<td>35</td>
<td>2</td>
<td>4</td>
</tr>
<tr>
<td>Unlimited 2-Course Load</td>
<td>0</td>
<td>1</td>
<td>5</td>
<td>1</td>
<td>5</td>
<td>2</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>NRO After Deadline</td>
<td>7</td>
<td>9</td>
<td>5</td>
<td>2</td>
<td>4</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>NRO Grade Chg After Deadline</td>
<td>0</td>
<td>2</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Repeat Course for Grade Only</td>
<td>5</td>
<td>6</td>
<td>6</td>
<td>4</td>
<td>3</td>
<td>3</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Postpone Summer Term</td>
<td>8</td>
<td>7</td>
<td>8</td>
<td>8</td>
<td>12</td>
<td>28</td>
<td>22</td>
<td></td>
</tr>
<tr>
<td>Waive Summer Term</td>
<td>53</td>
<td>58</td>
<td>69</td>
<td>53</td>
<td>47</td>
<td>64</td>
<td>59</td>
<td>62</td>
</tr>
<tr>
<td>Exempt Summer Term</td>
<td>15</td>
<td>16</td>
<td>20</td>
<td>20</td>
<td>20</td>
<td>23</td>
<td>19</td>
<td>20</td>
</tr>
<tr>
<td>Additional Term in Residence</td>
<td>64</td>
<td>75</td>
<td>90</td>
<td>85</td>
<td>84</td>
<td>77</td>
<td>87</td>
<td>73</td>
</tr>
<tr>
<td>Five+ Year Enrollment Pattern</td>
<td>76</td>
<td>73</td>
<td>80</td>
<td>83</td>
<td>89</td>
<td>84</td>
<td>85</td>
<td>104</td>
</tr>
<tr>
<td>Reduce Sr Yr Residence Req</td>
<td>326</td>
<td>318</td>
<td>332</td>
<td>343</td>
<td>305</td>
<td>288</td>
<td>328</td>
<td>349</td>
</tr>
<tr>
<td>Late Exchange of Courses</td>
<td>0</td>
<td>20</td>
<td>44</td>
<td>19</td>
<td>39</td>
<td>13</td>
<td>15</td>
<td>19</td>
</tr>
<tr>
<td>Late D-Plan Change Fee Waiver</td>
<td>23</td>
<td>10</td>
<td>23</td>
<td>20</td>
<td>8</td>
<td>16</td>
<td>8</td>
<td>6</td>
</tr>
<tr>
<td>Reduced Tuition</td>
<td>0</td>
<td>2</td>
<td>2</td>
<td>4</td>
<td>12</td>
<td>4</td>
<td>5</td>
<td>9</td>
</tr>
<tr>
<td>Total</td>
<td>983</td>
<td>927</td>
<td>1,064</td>
<td>1,183</td>
<td>989</td>
<td>921</td>
<td>998</td>
<td>1,035</td>
</tr>
</tbody>
</table>

Aside from a peak in 2012, grade change petitions appear to have settled into a more regular pattern.

After a downward trend in senior year residency petitions, the number has continued to climb.

Two petition types have increased notably this year, the Five+ Year Enrollment Pattern, and the Additional 2-Course Load (perhaps related); requests to postpone the summer term are much higher for the second year in a row.
Processed 1,838 enrollment verifications, 1,726 of which were provided electronically.

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</thead>
<tbody>
<tr>
<td>Manual Verifications</td>
<td>1,385</td>
<td>1,549</td>
<td>1,332</td>
<td>754</td>
<td>430</td>
<td>Over 273*</td>
<td>514</td>
<td>112</td>
</tr>
<tr>
<td>Self-Service Enrollment Verifications</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>294</td>
<td>1,727</td>
<td>1,845</td>
<td>2,275</td>
<td>1,726</td>
</tr>
<tr>
<td>Total</td>
<td>1,385</td>
<td>1,549</td>
<td>1,332</td>
<td>1,048</td>
<td>2,157</td>
<td>Over 2,118*</td>
<td>2,789</td>
<td>1,838</td>
</tr>
</tbody>
</table>

Self-service enrollment verifications became available to students in April of 2012, which has led to a significant reduction in the office workload in this area, allowing staff to focus on higher level tasks.

*Some data missing for 2013-14.

2015-16 has seen a sharp decline in both electronic and manual verification requests.

53 students studying away on non-Dartmouth programs (transfer terms) and 55 students on exchange programs were supported. The total number of transfer term participants has steadily decreased in recent years, while the total number of students participating in exchange programs remained at the higher volume we first saw in 2014-15.

Transfer Term Students

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</thead>
<tbody>
<tr>
<td>Summer</td>
<td>71</td>
<td>70</td>
<td>105</td>
<td>64</td>
<td>39</td>
<td>43</td>
<td>34</td>
<td>24</td>
</tr>
<tr>
<td>Fall</td>
<td>66</td>
<td>43</td>
<td>46</td>
<td>54</td>
<td>42</td>
<td>35</td>
<td>17</td>
<td>20</td>
</tr>
<tr>
<td>Winter</td>
<td>83</td>
<td>82</td>
<td>86</td>
<td>122</td>
<td>15</td>
<td>9</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>Spring</td>
<td>20</td>
<td>16</td>
<td>13</td>
<td>8</td>
<td>5</td>
<td>1</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>TOTAL</td>
<td>240</td>
<td>211</td>
<td>250</td>
<td>248</td>
<td>101</td>
<td>88</td>
<td>56</td>
<td>53</td>
</tr>
</tbody>
</table>

Note: The significant drop in the number of transfer term students is a result of new policies on the part of the COI regarding participation in transfer terms.
Exchange Program Students

<table>
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<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>Number of Students</td>
<td>39</td>
<td>39</td>
<td>46</td>
<td>37</td>
<td>43</td>
<td>33</td>
<td>54</td>
<td>55</td>
</tr>
</tbody>
</table>

Certified 44 VA Benefit recipients

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<tr>
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</thead>
<tbody>
<tr>
<td>Graduate Students</td>
<td>9</td>
<td>12</td>
<td>8</td>
<td>6</td>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>Undergraduate Students</td>
<td>26</td>
<td>28</td>
<td>32</td>
<td>32</td>
<td>35</td>
<td>36</td>
</tr>
<tr>
<td>Chapter 31</td>
<td>4</td>
<td>2</td>
<td>2</td>
<td>2</td>
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<td>2</td>
</tr>
<tr>
<td>Chapter 30</td>
<td>6</td>
<td>3</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Chapter 35</td>
<td>4</td>
<td>5</td>
<td>7</td>
<td>3</td>
<td>6</td>
<td>7</td>
</tr>
<tr>
<td>Chapter 33: Post 9/11 GI Bill recipients</td>
<td>21</td>
<td>30</td>
<td>30</td>
<td>32</td>
<td>32</td>
<td>34</td>
</tr>
<tr>
<td>Students eligible for the Yellow Ribbon program</td>
<td>11</td>
<td>25</td>
<td>24</td>
<td>28</td>
<td>29</td>
<td>30</td>
</tr>
<tr>
<td>TOTAL</td>
<td>35</td>
<td>40</td>
<td>40</td>
<td>38</td>
<td>40</td>
<td>44</td>
</tr>
</tbody>
</table>

Our Office certifies Graduate as well as Undergraduate VA benefit recipients.

Graduated 1,078 undergraduate students

<table>
<thead>
<tr>
<th>Term</th>
<th>Graduates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Summer</td>
<td>13</td>
</tr>
<tr>
<td>Fall</td>
<td>27</td>
</tr>
<tr>
<td>Winter</td>
<td>28</td>
</tr>
<tr>
<td>Spring</td>
<td>998</td>
</tr>
<tr>
<td>Total</td>
<td>1,066</td>
</tr>
</tbody>
</table>
Registrar's Office Major Projects 2015-16

Student Data Warehouse - A. Hunt, A. Ager project leads

- June 2014 - ongoing
  - Build, maintain, interpret and extract needed data from the Dartmouth student data warehouse to allow for improved student data reporting
  - Provide leadership for the overall project
  - Expand faculty research capacity thus far limited to Banner reporting

Improved Banner/Canvas Feed - A. Ager, project lead

- August 2014 - May 2016
  - Updated the Banner course data feed to Canvas to make it smarter and more adaptive to Banner data changes

National Student Clearinghouse reporting changes - A. Ager, J. Sinclair, project leads

- June 2015 - October 2015
  - Changed the internal reporting process to support better data transmission to the Clearinghouse and federal NSLDS which is required for student loan processing

New Class Schedule - A. Ager, project lead

- September 2015 - May 2016
  - Implemented the new revised class schedule in Banner and satellite systems voted by the Arts and Sciences Faculty June 4, 2014

Timetable Editor Dashboard - Data Warehouse - A. Ager, project lead

- October 2015 - January 2016
  - Provided new reports for departments/programs to proof and check their termly classes before publication to Banner; included improved business processes and longer timeline.

Hickory/VMS Decommission - A. Hunt, project lead

- September 2015 - December 2015
  - Migrated a series of reports and small projects to the data warehouse, allowing the office to finally be independent from VMS, an old technology which ITS finally decommissioned.

DegreeWorks Project - A. Hunt, project lead
• October 2015 - October 2016
  o A phased project covering a set of changes and improvements, allowing us to provide:
    ▪ Enhanced reporting from DegreeWorks, utilizing the data warehouse
    ▪ Expanded prerequisite checking capabilities
    ▪ An easier major/minor declaration process for both students and faculty
  o The project also includes a system upgrade for DegreeWorks

Course Assessment Portal - Student Access - A. Hunt, project lead

• June 2015 - September 2015
  o Led a major project to provide student access to a subset of Faculty Course Assessment data.

DCARS Updates - A. Hunt, project lead

• June 2015 - December 2015
  o Delivered various enhancements to improve DCARS, Dartmouth Course Approval Routing System.

Banner Landing Page - M. Braz, A. Hunt, project leads

• November 2015 - ongoing
  o Worked with “Improve Dartmouth” student representatives and ITS on ways to improve the student user experience on BannerStudent (self-service)

Names and Faces - A. Ager, M. Braz, project consultants

• December 2015 - July 2016
  o Worked as consultants to a project conceived by Professor Tom Cormen, Computer Science to provide faculty with a means to learn the names of students in their courses.

Commencement Reporting in the Data Warehouse - A. Ager, A. Hunt, project leads

• February 2016 - July 2016
  o Built reporting dashboards and tools in the data warehouse to better track progress towards graduation, as well as run Registrar's Office commencement reporting. Reports are shared with the Undergraduate Dean's Office and other satellite offices as need allowing all offices involved in Commencement to view the same information.

Faculty Position Requisition System - A. Ager, project consultant
• March 2016 - June 2016
  o Dean of Faculty project to set up a more efficient HR process for faculty; required enhancements to faculty data recording in Banner.

LOOKING AHEAD

Petition Workflow System - To be determined

• Scheduled to begin September 2016, this project aims to put all enrollment-based (and other Registrar-reviewed) petitions submitted by students and faculty into an online workflow system to improve efficiency, timing, and reduce paper consumption.

New General Education Requirements – To be determined

• In May 2016 the Faculty voted to approve a new set of General Education requirements. In addition, there are related faculty initiatives such as the NRO and perhaps a new language requirement that the faculty will consider in the coming year. This major project, which impacts multiple systems, will require a significant and probably most of our resources for the next several years.

Department/Program Reporting Dashboards - A. Ager, project lead

• Data warehouse project to provide departments and programs with reporting dashboards and tools to review their own data regarding enrollments, majors, and minors.

Transcript Processing Improvements - To be determined

• The Registrar's Office plans two improvements to the transcript request process which will significantly benefit students and improve office operations:
  o Streamlining the process for students to submit transcripts to AMCAS
  o Outsourcing the production of paper transcripts to Parchment, our current vendor

Advising enhancement - M. Braz, project consultant

• Consulting support with UG Advising and Dean of the College as they enhance advising to year 2

Upgrade Registrar website - To be determined

• Part of campus-wide initiative
Other Technical Projects

We continue to serve as a resource for other offices on campus that require our expertise when possible. We also participate in projects that impact all campus users, and engage in several internal projects that do not involve outside entities. Some of the larger ones included:

- System testing; A. Hunt
  - Banner 8.7 upgrade (also A. Ager) - November 2015
  - Banner 8.8 upgrade (also A. Ager) - April 2016
  - Annual Failover testing - December 2015
- EMS (Event Management Software) - R25 replacement; J. Sinclair, D. Choate
- Implementation of gender non-conforming and gender change options in Banner; M. Braz, A. Ager
- Enhanced the Timetable Editor by implementing crosslist validation, so departments/programs may only build approved crosslists; A. Ager, A. Hunt
- Coordinated the change of two department names (M&SS to QSS, WGST to WGSS), along with the division of another program into two distinct programs (LING/COGS into distinct LING and COGS entities) and established protocols for future department/program changes; A. Ager, A. Hunt

Additional initiatives, outcomes and collaborations:

- SSSI (service initiative) - implemented September 2015, this project has a goal of increasing service efficiency in the Registrar's Office.
- With the assistance of our work-study students, completed the following projects:
  - Continued to backfill citation data into Banner (data is complete back through the class of 1988 - an additional 5,407 citations were entered in 2015-16)