

DARTMOUTH COLLEGE DISCLOSURE FORM
for
Investigators Involved in Sponsored Activities
As Required by the Dartmouth College Conflict of Interest Policy

Under Dartmouth's Conflict of Interest policy, all investigators and other key personnel involved in sponsored activities must complete this form and submit it to the Director of Sponsored Projects. Furthermore, affected employees are required to update this form at least annually and at any time new circumstances arise that would create a potential or actual conflict of interest.

The purpose of this disclosure statement is to give the College the information needed to identify potential conflicts of interest for investigators and other key personnel involved in sponsored activities and to ensure that no unresolved conflict exists between their sponsored activities and their outside interests and activities. This statement conforms with current federal and College guidelines requiring disclosure of professional activities of investigators and other key personnel.

Only those interests related to sponsored activities need to be reported. Not all interests and financial relationships place the individual in conflict with his or her obligations to the College and to his or her profession. Not all divided interests are necessarily impermissible. Timely and complete disclosure of potential conflicts of interests is for your protection, as well as that of the College.

Please complete the following information:

Name _____ Date _____
(type or print clearly)

School <i>(Please check one)</i>	Department <i>(Please list home department)</i>	Hinman Box
_____ Arts & Sciences	_____	_____ HB
_____ Medical School	_____	_____ HB
_____ Thayer School	_____	_____ HB
_____ Tuck School	_____	_____ HB
_____ Provost Area	_____	_____ HB

Please answer the following questions *(use the back or additional paper, if needed)*:

1. Ownership Interests. During the past 12 months or in the next 12 months, did/will you, your spouse, or any of your dependent children, own or control equity that represented in total more than a 5% ownership interest in any organization in your field of academic interest or specialization?

YES _____ (if "yes," give details below) NO _____

Name of Organization	City & State	Nature of Business	Ownership Interest
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2. Offices and Positions. During the past 12 months or in the next 12 months, did/will you, your spouse, or any of your dependent children serve as a director, officer, partner, employee, or agent, or in any managerial position in any organization (other than Dartmouth College) that might be affected by your sponsored activities? (You need not answer with respect to governmental committees or community, political, academic, charitable, religious, social or professional nonprofit organizations.)

YES _____ (if "yes," give details below) NO _____

Name of Organization & Description of Position	City & State	Nature of Business	Relationship of Family Member
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3. Remunerative Activities. During the past 12 months or in the next 12 months, have/will you your spouse, or any of your dependent children received income (cash or in kind, ignoring sponsored activity's reimbursement of reasonable expenses) related to your sponsored activities from organizations (other than Dartmouth College) where any such payment from any one organization exceeded \$10,000 (per annum, if salary, fees, or other continuing payments) or represented more than a 5% ownership interest for any one enterprise or entity when aggregated for you and such related individuals? (You may exclude income from activities resulting from your affiliations with governmental committees or community, political, academic, charitable, religious, social or professional nonprofit organizations.)

YES _____ (if "yes," give details below) NO _____

Name/Description of Organization	City & State	Nature of Business	Remuneration
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4. Outside Employment of Students and Staff. To your knowledge were/will any of your students or staff employed during the past 12 months or in the next 12 months in a company or organization outside the College in which you have a financial, personal, or professional interest?

YES _____(if "yes," give details below)

NO _____

Staff or Student Name

Employer

% Time (Hrs/Year)

5. Inventor/creator of intellectual property. Are you an inventor/creator of intellectual property related to your sponsored activities that has been patented, licensed or transferred to any outside entity?

YES _____(if "yes," give details below)

NO _____

6. Other Transactions or Facts. During the past 12 months or in the next 12 months, did/will you, your spouse or any of your dependent children have an interest in any contract, sale, or other transaction to which Dartmouth College was/will be a party, or are there any other situations not identified by you above that you believe may create an actual or perceived conflict of interest? (Exclude your own or your spouse's employment obligations to the College.)

YES _____(if "yes," give details below)

NO _____

The above is an accurate and current statement of my reportable outside interests and activities.

Signature _____ Date _____ 200

Please circle one: Principal Investigator / Key Personnel

Please return the signed form in an envelope marked "Confidential" to Jill Mortali HB 6210.

Administrative Review

Based on the activity report, to the best of my knowledge and in my judgment:

_____ a. No real conflict of interest exists

_____ b. Potential conflict of interest exists

_____ c. A real conflict of interest exists. Steps will be taken to manage, reduce or eliminate the conflict.

(1) Develop management

(2) Refer to COI Review Subcommittee

Signature _____ Date _____
Jill Mortali, Director of Sponsored Projects

Signature _____ Date _____
Martin Wybourne, Vice Provost for Research

Notes: